# Climate Variability and Change since 850 C.E.: An Ensemble Approach with the Community Earth System Model (CESM)

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### 1 Abstract

2 The climate of the past millennium provides a baseline for understanding the 3 background of natural climate variability upon which current anthropogenic changes are 4 superimposed. As this period also contains high data density from proxy sources (e.g. 5 ice cores, stalagmites, corals, tree rings, and sediments), it provides a unique 6 opportunity for understanding both global and regional-scale climate responses to 7 natural forcing. Towards that end, an ensemble of simulations with the Community 8 Earth System Model (CESM) for the period 850-2005 (the CESM Last Millennium 9 Ensemble, or CESM-LME) is now available to the community. This ensemble includes 10 simulations forced with the transient evolution of solar intensity, volcanic emissions, 11 greenhouse gases, aerosols, land use conditions, and orbital parameters, both together 12 and individually. The CESM-LME thus allows for evaluation of the relative contributions 13 of external forcing and internal variability to changes evident in the paleoclimate data 14 record, as well as providing a longer-term perspective for understanding events in the 15 modern instrumental period. It also constitutes a dynamically consistent framework within which to diagnose mechanisms of regional variability. Results demonstrate an 16 17 important influence of internal variability on regional responses of the climate system during the past millennium. All the forcings, particularly large volcanic eruptions, are 18 19 found to be regionally influential during the preindustrial period, while anthropogenic 20 greenhouse gas and aerosol changes dominate the forced variability of the mid to late 20<sup>th</sup> century. 21

22

24 Capsule

The CESM-LME modeling project gives the research community a resource for better understanding both proxy records and climate variability and change since 850 C.E.

28 **1. Background** 

29 In 1565, Pieter Bruegel the Elder painted the frigid northern European landscape 30 in his work *Hunters in the Snow*, one of a series of winter landscape paintings (Kemp, 31 2008). That year was just one of many years during the 16th century when winters in Europe were particularly severe. Historical and physical records from many parts of the 32 world indicate cooler temperatures for much of the period between about 1450 and 33 34 1850 A.D (PAGES 2k consortium, 2013). The proposed reasons for this period of cooler 35 temperatures, often referred to as the Little Ice Age (LIA), have varied with region and include solar variability (Eddy, 1976; for review see Lean, 2010), periods of strong and 36 37 frequent tropical volcanic eruptions (Miller et al., 2012; Schurer et al., 2014), declining 38 Northern Hemisphere summer insolation associated with the long cycles of the Earth's 39 orbital parameters (Kauffman et al., 2009), and land use/land cover changes (He et al., 40 2014). The LIA was preceded by a period of warmer temperatures from roughly 950-1250, the Medieval Climate Anomaly (MCA), although this period exhibited much more 41 42 heterogeneity in the timing and regional expressions of the responses (Bradley et al., 43 2003; Diaz et al., 2011).

The last millennium has a rich archive of annually-dated proxy records that give us a longer perspective on climate variability and change than the instrumental period (see Jones et al., 2009 and PAGES 2k consortium, 2013 for reviews). An extensive network of tree ring records provides a measure of the year-to-year as well as longer-

48 term variability of continental temperatures at mid- to high latitudes (e.g., Fritts et al., 49 1979; Briffa, 2000; Cook et al., 2006) and continental moisture at low latitudes (e.g. Cook et al., 1999; 2010) over the last millennium, although the spatial coverage 50 51 decreases at earlier times. Similarly, ice cores in Greenland and Antarctic (e.g., Vinther 52 et al., 2009; Graf et al., 2002) and Arctic lake records (e.g., Kauffman et al., 2009) 53 contribute to the reconstruction of high-latitude regional temperature variability. At lower latitudes, analyses of stalagmites of caves exhibit monsoon-related variability (e.g., 54 Wang et al., 2005) and coral records have been employed to assess centennial to 55 millennial variability of the El Nino-Southern Oscillation (e.g., Cobb et al., 2003; 2013). 56 57 Because of its high data density, the last millennium is an excellent time period over which to quantify the relative importance of natural and anthropogenic forcings in 58 59 explaining recent and more distant changes to climate. For this reason, it has caught the attention of policy makers and has been featured prominently in the 60 61 Intergovernmental Panel on Climate Change (IPCC) reports. For the Coupled Model 62 Intercomparison Project Phase 5 (CMIP5) and as a contribution to the IPCC Fifth Assessment (AR5), modeling groups worldwide completed simulations for the period 63 850-1850 (hereafter referred to as the Last Millennium [LM]) with the same models and 64 using the same resolutions as for future projections (Taylor et al., 2012), as compared 65 to the ensemble-of-opportunity available for the IPCC AR4 (Jansen et al., 2007; 66 67 Fernandez-Donado et al., 2013). The forcing protocols were defined by the Paleoclimate Modelling Intercomparison Project (PMIP) and included several scenarios 68 for solar and volcanic forcings to allow testing of the structural uncertainties in different 69 70 reconstructions (Schmidt et al., 2011).

71 The CMIP5 LM simulations organized by PMIP3 allowed for exploration of 72 structural differences among the participating models and uncertainties in the 73 reconstructed forcings. Nine modeling groups completed the CMIP5 LM simulations. 74 using the same model versions and same resolution as the CMIP5 future projection 75 simulations, providing important contributions to the chapters on paleoclimate (Masson-76 Delmotte et al., 2013), evaluation of climate models (Flato et al., 2013), and detection 77 and attribution of climate change (Bindoff et al., 2013) in the IPCC AR5 Report. Additional LM single-model ensembles separating out the individual forcing responses 78 79 suggest that volcanic eruptions were the dominant forcing of Northern Hemisphere 80 temperature before 1800, with smaller but detectable contributions from solar and 81 greenhouse gas variations on some time scales (Phipps et al., 2013; Schurer et al., 2013, 2014). This response holds true even when different volcanic forcing 82 reconstructions were used (Anders and Peltier, 2013; Schmidt et al., 2014). 83 Analyses of the CMIP5 LM simulations in comparison with reconstructions of 84 regional temperature variability and North American drought further suggest that internal 85 variability may play a large role on multi-decadal and centennial time scales (Bothe et 86 87 al., 2013; Coats et al., 2015). This agrees with the conclusion from an earlier large ensemble of LM simulations with the ECBILT-CLIO model that at the continental and 88 sub-continental scales, the contribution of internal climate variability to regional 89 90 responses can be large (Goosse et al., 2005).

The CESM Last Millennium Ensemble (CESM-LME) expands on the CMIP5 and earlier LM model simulations by providing the largest ensemble of LM simulations with a single model to date. The CESM-LME uses the CMIP5 climate forcing reconstructions

94 (Schmidt et al., 2011) and contains both 'full forcing' simulations containing all LM 95 forcings, as well as ensembles of simulations with each forcing individually (Table 1). This multi-ensemble approach using the most current version of the comprehensive 96 97 CESM (Hurrell et al., 2013) allows the CESM-LME to provide a state-of-the-art 98 counterpart to the previous multi-model studies described above. In the CESM-LME, the 99 research community now has an important resource for understanding the role of 100 internal variability in generating climate variations over the last 1156 years. The 101 scientific guestions that motivated our project include the pressing need to evaluate the 102 ability of models such as CESM to capture observed variability on multidecadal and 103 longer time-scales, to determine the characteristics (i.e. intensity, spatial structure, 104 seasonality) of variability associated with the individual natural forcings versus purely internal variability, and to permit a longer-term perspective for detection and attribution 105 106 studies.

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## 108 2. Experimental design

109 The CESM-LME employs version 1.1 of CESM with the Community Atmosphere 110 Model version 5 [CESM1(CAM5); Hurrell et al., 2013], the same model as the CESM 111 Large Ensemble (CESM-LE, Kay et al., 2015) except for resolution of the atmosphere 112 and land components. The CESM-LME uses ~2-degree resolution in the atmosphere 113 and land components and ~1-degree resolution in the ocean and sea ice components 114 (1.9x2.5 gx1v6). At this resolution, we can simulate  $\sim$ 25 years per day on the NWSC 115 (NCAR-Wyoming Supercomputing Center) Yellowstone computer, and complete a full simulation from 850 to 2005 in ~45-50 days. 116

117 Before starting the CESM-LME simulations, we spun up the model for an 1850 118 control simulation of 650 years, from which an 850 control simulation was branched and run for an additional 1356 years (Fig. 1). All CESM-LME simulations were started from 119 120 year 850 of the 850 control simulation (i.e. after 200 years of the 850 control). The only 121 difference among ensemble members is the application of small random round-off (order 10<sup>-14</sup> °C) differences in the air temperature field at the start of each ensemble 122 123 member. Both control simulations were run in excess of 1000 years to overlap with the 124 CESM-LME simulations, allowing removal in our analyses of any trends still present. In surface temperature and top-of-atmosphere (TOA) net incoming flux, these trends are 125 on the order of 0.02°C per century and 0.01 W m<sup>-2</sup>, respectively. For sea ice area, there 126 127 is no significant trend in the control simulation. Over the period from 850 to 1849, the 128 global mean ocean temperature in the 850 control integration cools by only ~.004°C per 129 century, reflecting a continuing adjustment toward equilibrium in the deepest ocean levels below ~500m. The global mean ocean salinity freshens by ~5 x  $10^{-5}$  PSU per 130 131 century, indicating an insignificant adjustment between global freshwater reservoirs. 132 The choices of LM forcings and their implementations follow those used in our LM simulation with CCSM4 (see Landrum et al., 2013, Fig. 1 and its discussion for more 133 134 details). The forcings over this period include orbital, solar, volcanic, changes in land 135 use/land cover and greenhouse gas levels. We adopt the concentrations of the well-136 mixed greenhouse gases (CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O) from high-resolution Antarctic ice cores (Schmidt et al., 2011) and calculate of the seasonal and latitudinal distribution of the 137 orbital modulation of insolation from the equations in Berger (1978). For the volcanic 138 139 forcing, we adopt version 1 of the Gao et al. (2008) ice core-derived estimates of

140 aerosol loadings as a function of latitude, altitude, and month (see

141 http://climate.envsci.rutgers.edu/IVI2/ for corrections that have been made since to this 142 dataset). Stratospheric aerosols are prescribed in the CESM as a fixed single-size 143 distribution in the three layers in the lower stratosphere above the tropopause. Changes 144 in total solar irradiance (TSI) are prescribed using the Vieira et al. (2011) reconstruction, 145 upon which an estimated 11-yr solar cycle has been imposed and spectral solar 146 irradiance derived using linear regression of TSI at each spectral interval (see Schmidt 147 et al., 2011 for details). We merged the Pongratz et al. (2008) reconstruction of land use 148 with that of Hurtt et al. (2011), scaling the Pongratz dataset to match the Hurtt dataset at 149 1500 at every land model grid. This procedure resulted in a very small step change in 150 land cover at 1500, which is not important from a physical climate perspective but is 151 potentially important for some applications (Lehner et al., 2015). The only plant 152 functional types (PFTs) that are changed are those for crops and pasture; all other 153 PFTs remain at their 1850 control prescription.

For the continuation of the CESM-LME simulations from 1850 to 2005, we adopted the same forcings as the CESM-LE with the exception of including orbital changes in insolation not considered in the CESM-LE. The CESM-LME-adopted ozone and aerosol forcings are fixed at the 1850 control values until 1850 and then include the evolving anthropogenic changes to 2005.

The CESM-LME forcings are detailed in Fig. 2. Volcanic events of varying strength have been a recurring feature of the last millennium (Fig. 2a), with periods of frequent, large tropical eruptions in the last half of the 13th century and the first half of the 19th century. The largest eruptions in terms of total global stratospheric volcanic

163 sulfate aerosol injection occurred in 1258 (Samalas, 257.9 Tg), 1452 (Kuwae, 137.5 164 Tg), and 1815 (Tambora, 109.7 Tg). For context, the 1991 Pinatubo eruption had a total 165 global stratospheric volcanic sulfate aerosol injection estimated at 30.1 Tg (Gao et al., 166 2008). It should be noted that there are major differences in both the timing and 167 magnitude of volcanic forcing between the two suggested PMIP3 reconstructions (Gao 168 et al., 2008; Crowley and Unterman, 2013) as shown in Schmidt et al. (2011) arising 169 from different methodologies and uncertainties inherent in reconstructing volcanic 170 deposition events from polar ice cores. Solar variability is characterized by a pronounced guasi-11-year sunspot cycle in insolation of about 1 W m<sup>-2</sup>, a variability that 171 172 itself changes with time. Sunspot counts back to 1610 and longer, indirect records of 173 solar activity from ice cores and tree rings also indicate periods of ~70-100 years with 174 reduced solar activity. These include the Wolf (~1280-1350), Sporer (~1460-1550), and 175 Maunder (~1645-1715) grand solar minima, with an increase in the TSI of about 0.1% 176 from the Maunder Minimum to today (Fig. 2b). Concentrations of major greenhouse 177 gases were largely stable prior to the late 19th century, with only relatively small 178 variations related to natural feedbacks in the carbon and nitrogen cycles. Major 179 increases in CO<sub>2</sub>, N<sub>2</sub>O, CH<sub>4</sub> and F<sub>11/12</sub> occurred during the 20th century (Fig. 2c). Over 180 the past two centuries, there has also been a substantial increase in crop and pasture 181 extent, amounting now to nearly a third of all land regions (Fig. 2d). When the 182 magnitudes of these forcings are estimated from the net TOA tropical clear-sky 183 shortwave flux over ocean (Fig. 2e), a region chosen to minimize the influence of land and the cryosphere, the decadal-mean variability associated with volcanic events 184 (several W m<sup>-2</sup>) dominates that of other sources (orbital and LULC contributions not 185

shown for clarity and due to their small net forcing over tropical oceans). Solar variability acts as a secondary source of variability ( $\sim 0.2 \text{ W m}^{-2}$ ), an influence that for example is weak but evident from 1650-1750 (Fig. 2e).

189 We have completed 30 CESM1(CAM5) simulations for the CESM-LME project. These include an ensemble of 10 simulations with all forcings as well as smaller 190 191 ensembles with each forcing separately (Table 1, Fig. 1). To assess the influence of 192 anthropogenic- forced changes in ozone and aerosols, we completed two simulations 193 starting at year 1850 of the control simulation and continuing to 2005. One full-forcing 194 ensemble member (#7) saved 6-hourly atmospheric output for forcing regional models 195 and extremes analyses, and one full-forcing ensemble member (#10) included the 196 simulation of radiocarbon in the ocean for comparison to related proxies. We also 197 extended four full-forcing ensemble member to 2100 with RCP8.5 forcing and 198 completed the CMIP6 abrupt  $4xCO_2$  and 1% to  $4xCO_2$  experiments.

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# 200 3. Results

201 In this section, we illustrate some examples of the capabilities and applications of 202 the CESM-LME. We compare to proxy reconstructions, where possible, to provide an 203 important first benchmark for validating the CESM-LME.

204

#### 205 a. Surface temperature

Northern Hemisphere (NH) mean surface temperature has been estimated from
numerous proxy reconstructions (Mann et al. 2009, Ammann and Wahl 2007, Moberg et

- al. 2005, Juckes et al. 2007). Here, we compare the ensemble mean of CESM-LME
- 209 full-forcing runs to five reconstructions for the NH surface temperature (Fig. 3) included

210 in the IPCC AR5 (see Masson-Delmotte et al., 2013 for discussion of the reconstruction 211 methods and uncertainties). The simulated NH surface temperature confirms the reconstructed "hockey stick"-like pattern with generally warm conditions near 1100 212 213 (coincident with the Medieval maximum of TSI and only weak volcanic activity) and a 214 gradual cooling until the 19th century. The CESM-LME resolves cooling events 215 associated with major volcanic eruptions (1258, 1452, 1815), and sustained intervals of cool temperatures during the late 13<sup>th</sup> century, from the early 17th to mid 18th centuries, 216 217 and during the early 19th century. The degree of volcanic cooling in the CESM-LME is 218 generally stronger than in the reconstructions, possibly related to uncertainties in the 219 volcanic forcing (Sigl et al. 2014).

220 The most notable feature of both the simulated and reconstructed records is the 221 large warming evident since the late 19th century, the timing and magnitude of which 222 differs across reconstructions but whose overall magnitude is well-observed in the instrumental period. The CESM-LME simulations capture about 80% of the observed 223 20<sup>th</sup> century warming: this underestimate is likely due to overly strong indirect aerosol 224 225 forcing. CESM1 is known to simulate a stronger aerosol indirect effect than did CCSM4, 226 which overestimated heat gain since 1970 (Gent et al. 2011; Meehl et al. 2012); CESM 227 thus has a comparable performance to CCSM4, but errs on the side of overly strong 228 20th century cooling associated with aerosols. The observed trend from GISTEMP over 1930 to 2005 is 0.68°C century<sup>-1</sup> while in the ensemble mean for the CESM-LE and 229 230 CESM-LME simulations it is 0.56°C century<sup>-1</sup> and 0.54°C century<sup>-1</sup>, respectively. The CESM-LME full-forcing simulations are able to capture the overall globally 231 232 warmer conditions during the MCA (950-1250) relative to the LIA (1450-1850) present in

233 the proxy record; global average annual temperature differences range from 0.12 to 234 0.17°C and NH annual temperature differences from 0.16 to 0.21°C (Fig. 4 and 5). As 235 shown in Fig. 2, the most significant differences in radiative forcing between the MCA 236 and LIA are the very large volcanic eruptions during the LIA as compared to only weak 237 volcanic activity during the MCA. The simulated response shows polar amplification of 238 the simulated temperature responses, particularly in the Arctic (~3-4X the global 239 temperature change), more muted temperature changes in the tropics, and greater 240 temperature changes over the continents than the oceans. The order of magnitude of 241 warming regions in the CESM-LME full-forcing simulations is similar to the annual 242 temperature reconstruction of Mann et al. (2009). The polar regions, where the 243 simulations indicate the largest temperature anomalies between the MCA and LIA, are 244 not reported in the reconstruction due to the challenges involved in validating proxy 245 records.

246 As compared to the CMIP5 LM simulations, the CESM-LME simulations simulate 247 larger MCA-LIA NH surface temperatures differences than 2/3 of these models (Fig. 5). 248 Notably, the mean of the full-forcing CESM-LME simulations (0.19°C) is less than the 249 warming of the CCSM4 simulation, as are the values for all individual ensemble 250 members. The single-forcing ensemble members indicate that the volcanic forcing is 251 most important for explaining the MCA-LIA NH surface temperature differences, with a 252 3-member mean of 0.11°C. Interestingly, in CESM(CAM5) the LULC changes from 253 MCA to LIA have the next most important contribution to the NH surface temperatures changes. Over half of PMIP3 models did not use land use/cover changes as an LM 254

forcing, indicating that this could contribute to some of the underestimates of MCA-LIA
 temperature differences in CMIP5 LM simulations.

257 The most striking first impression is that the ensemble members are quite similar 258 with notable differences only coming into sight by zooming in. Strong reconstructed 259 surface temperature differences between the MCA and LIA over northern Europe are 260 simulated in some, but not all, ensemble members (Fig. 4). This is consistent with 261 previous CCSM3 modeling studies that indicate cooling over northern Europe and the 262 North Atlantic associated with the negative radiative forcing of large volcanic eruptions 263 during the LIA (Zhong et al., 2010; Lehner et al., 2013), with the relative response 264 sensitive to the initial state of the ocean and atmosphere during the eruptions (Zhong et 265 al., 2010).

266 The importance of internal variability is also illustrated when comparing the CESM-LME full-forcing simulations to the CMIP5 LM simulations for June-July-August 267 268 land surface temperatures for Europe (Fig. 6). The CESM-LME ensemble spread 269 suggests that at least a portion of the CMIP5 LM multi-model spread of summer surface 270 temperatures over Europe may be attributable to internal variability. Differences in both 271 the timing and magnitude of the volcanic forcing between the two suggested PMIP3 272 reconstructions are visible in the summer temperature responses over Europe. The 273 HadCM3 and MPI-ESM-P LM simulations use the Crowley and Unterman (2013) 274 volcanic reconstruction. Notably, this volcanic reconstruction concluded that the aerosols from the 1783 Laki eruption remained mostly in the troposphere. The late 13<sup>th</sup> 275 276 century pulse of eruptions also has notable differences between the two 277 reconstructions.

278 Over North America, all the CESM-LME full-forcing simulations exhibit warmer 279 conditions during the MCA than the LIA, although they underestimate the magnitude of 280 surface temperature differences as compared to the Mann reconstruction (Figs. 4 and 281 7). The much higher surface temperatures during the MCA as compared to the LIA are 282 only robust regionally across the full-forcing ensemble for Hudson Bay and the 283 Canadian Arctic Archipelago. Each of the individual LM forcings contribute to this 284 pattern of a warmer MCA than LIA in the Canadian Arctic, but only the volcanic-only and 285 orbital-only simulations consistently so (though not always above the 95% confidence 286 criteria). For solar-only forcing and GHG-only forcing, not all ensemble members 287 reproduce the pattern of warming in the Canadian Arctic, illustrating the utility of 288 ensembles of simulations for attribution of Arctic climate responses. Interestingly, at 289 least in some regions the role of single forcing agents can be discriminated: the 290 warming in the SW USA and Mexico is caused by land-use changes in CESM. 291 In our simulations, the late 20th century global-mean warming cannot be solely 292 explained by increased solar irradiance during the 20th century (Scafetta and West, 293 2008). Fig. 8 shows annual surface temperature anomalies, for present-day (PD; 1950-294 2000) minus LIA (1450-1850), for the single-forcing and full-forcing CESM-LME runs. 295 For each forcing scenario, ensemble members with minimum and maximum global 296 temperature differences are shown. The increased GHG at the end of the 20th century 297 result in strong simulated warming over the entire globe except over the North Atlantic 298 region. The simulated small cooling in the latter region is associated with a weakening 299 of the simulated AMOC in the 20th century. Cooling due to strongly increased Northern 300 Hemisphere aerosols during the 20th century counteracts approximately half of the

301 simulated GHG warming in the Northern Hemisphere. The 20th century aerosol 302 loadings in the Southern Hemisphere are smaller and as such the simulated cooling 303 associated with the aerosols is much less than in the Northern Hemisphere. Sea ice 304 plays an important feedback in the model responses to the GHG and aerosol increases 305 during the 20th century (see next section). Increases in solar irradiance from the 306 Maunder Minimum to the late 20th century (~0.1% in our reconstruction) force a more 307 modest, consistent warming over the Arctic Ocean and North America. The other 308 forcing - volcanic, orbital, and land use - have much less effect on the surface 309 temperature changes simulated for the last half of the 20th century as compared to the 310 LIA.

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- 312 *b.* Sea ice

313 Arctic sea ice has been declining rapidly over recent decades (e.g., Stroeve et 314 al., 2008), with large contributions from both forced anthropogenic trends and internal 315 variability (Swart et al., 2015). Prior to the second part of the 20th century, Arctic sea ice 316 records are sparse, but the existing reconstructions suggest that the recent Arctic sea 317 ice loss has been unprecedented during the last 1400 years (e.g., Kinnard et. al, 2011). 318 By looking at the different CESM-LME single forcing simulations compared to the 10-319 member full forcing CESM-LME, we can begin to attribute features in the full forcing 320 simulation to individual forcings over the last millennium, allowing us to put the recent 321 changes into a longer-term context.

In agreement with the sea ice reconstructions for the last millennium by Kinnard et al. (2011), the largest signal in the sea ice extent in the CESM-LME simulations is the strong decline in the NH sea ice extent over recent decades (Fig. 9). By looking at the

325 single-forcing simulations, it is clear that this decline is driven by GHG. In the NH, the 326 sea ice decline in the GHG-only simulations already starts in 1850, but other forcings, in 327 particular the ozone-aerosol forcing and the volcanic forcing, delay and reduce the 328 magnitude of the realized sea ice extent decline in the fully-forced simulations. In the 329 SH, the effect of the GHG forcing on sea ice is offset less than in the NH, resulting in a 330 very strong decline of the sea ice extent in the SH since 1850. Over the last three 331 decades for which satellite data is available, this simulated strong decline in SH sea ice 332 extent conflicts with satellite data that shows a small positive trend in SH sea ice extent 333 (e.g., Cavalieri and Parkinson, 2012). This mismatch with the data is a common feature of many climate model simulations (e.g., Turner et al., 2013) and the causes are still 334 335 under investigation.

336 Prior to the sea ice decline due to GHG, sea ice extent had been slowly increasing in both hemispheres (Fig. 9), in agreement with the slow cooling over that 337 338 period (Figure 3) and with sea ice reconstructions for the NH (e.g., Massé et al., 2008). 339 Superimposed on the slowly increasing sea ice prior to 1850, some strong volcanic forcing events are clearly visible in the fully-forced ensemble mean, e.g. for the NH 340 341 around 1825 and 1260. Furthermore, it is clear from the fully-forced ensemble spread 342 that significant internal variability has occurred over the last millennium, making it 343 important to employ ensembles to investigate the detailed climatic impact of, and driving 344 forces behind, sea ice changes.

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346 c. Precipitation and drought

Anthropogenic forcing is expected to lead to large changes in 21st century
hydroclimate, with most models projecting drying trends over North America (Wuebbles

et al. 2014; Cook et al., 2015). Additionally, proxy records indicate that decadal to
multidecadal 'megadroughts' are quite common in many locations (Woodhouse &
Overpeck 1998); using the CESM-LME, we can gain insight into the drivers of such
events and the degree to which anthropogenic effects may dominate.

353 To illustrate the 20th century trends in the CESM-LME, Fig. 10 shows the 354 difference in Palmer Drought Severity Index (PDSI) between 1950-2000 and 850-1850, 355 as well as the same quantities calculated using the North American Drought Atlas tree 356 ring reconstruction (Cook et al. 2004). The CESM-LME full-forcing ensemble (Fig. 10a) 357 shows overall drier conditions in the western United States and Northern Mexico during 358 the late 20th century, with wetting in the Midwest and Eastern US. Single forcing runs 359 suggest that the drying trend in the western US is due primarily to greenhouse gas, 360 while the wetting in the east is dominated by land use/land cover changes (Fig. 10c,e). 361 Other forcings contribute only a minor amount. Agreement with the NADA is guite good 362 over Mexico and the eastern US, but the NADA does not show the drying trend over the 363 western US; this may reflect contributions from internal variability (cf. Fig. 10a,b).

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#### 365 *d. Climate variability*

Modes of internal climate variability affect regional patterns of temperature and precipitation. The influence of forcings on both global mean and lateral gradients in radiative fluxes provides a means by which they may interact with these modes. While various reconstructions have suggested multidecadal to centennial modulation of these modes by past forcings (e.g. Trouet et al., 2009; Li et al., 2011; Knudsen et al., 2011), the extent to which natural forcings over the past millennium have acted as a

pacemaker for these modes remains an open but important question for constrainingnear-term future projections and improving risk estimates.

374 The spectra of two key modes of variability are shown in Fig. 11, where the 375 CESM-LME simulations are differentiated into groups that do not incorporate volcanic 376 forcing, that include volcanic forcing, and that incorporate all forcings, along with their 377 associated 1-sigma range. A role for volcanic forcing in increasing the power of the 378 Atlantic Multidecadal Oscillation (AMO, defined as the area-weighted North Atlantic SST 379 anomalies from 0° to 60°N and 80°W to 0°E after removing the global [60°S to 60°N] 380 SST anomalies) at low frequency is suggested as the ensemble mean power of both the 381 all-forcing and volcanic forcing runs lies consistently above the range of power in the 382 distribution of runs without volcanic forcing. Differences in the power exhibited by the 383 Niño3.4 index (defined as the area-weighted monthly SST from 5°S to 5°N and 120° to 384 170°W after removing the long term monthly means) are also considerable at various 385 bands, however, the spread amongst ensemble members is also considerable and at 386 no frequency is the distribution of either the all forcing or volcanic forced runs outside of 387 the spread of spectra evident in the control simulation and CESM-LME runs without volcanic forcing. 388

Previous model simulations indicate a positive correlation of the AMO with the Atlantic Meridional Overturning Circulation (AMOC) although the lead/lag relationship varies with model and forcing (Delworth and Mann, 2000; Ottera et al., 2010). The CESM-LME AMOC, NH annual mean sea ice extent (SIE) and AMO all show significant responses to the seven largest volcanoes for 30/12/20 years after an event, respectively (Fig. 12). Composite response shows that the AMO cools immediately, whereas sea ice

increases markedly for 2 years and then decreases continuously for another ~10 years.
AMOC strength increases – and the increase of the AMO two years post-event is
consistent with significant correlations with the AMOC (Danabasoglu et al., 2012). The
AMOC strengthens for ~14 years after an event, then gradually decreases to pre-event
levels 30 years post-event. We also found that while both NH SIE and the AMO respond
similarly to medium sized volcanic eruptions (-4 to -10 W m<sup>-2</sup> reduction in solar flux), the
AMOC does not.

The El Nino/Southern Oscillation (ENSO) accounts for the majority of interannual 402 403 climate variations, and is expected to respond to external forcing through a variety of 404 feedback processes (Collins et al. 2010). The available proxy data suggests a possible 405 increase in ENSO variance over the last millennium (e.g. Cobb et al. 2013, McGregor et 406 al. 2010; Figure 13c), although the magnitude of the reconstructed increase is fairly 407 modest. In the CESM-LME, ENSO has a realistic spread in the frequency domain 408 although its amplitude is overestimated compared with observations; this problem is 409 present in the higher-resolution LENS simulations as well, to a somewhat lesser extent 410 (Kay et al. 2015).

Figure 13a shows the running variance of the NINO3.4 index in the CESM-LME: consistent with the lack of response in the overall Niño3.4 power spectrum in Figure 11, little systematic change is seen during epochs of varying solar irradiance and the running variance does not respond to volcanic eruptions. Some CESM-LME simulations do show a trend toward higher Niño3.4 variance during the 20th century, but the ensemble-mean change in variance does not exceed the bounds of internal variability until the very end of the simulation. Additionally, trends in variance are not consistent

418 among all realizations of the 850-2005 period. Panels b and c show a stacked coral 419  $\delta^{18}$ O record from the tropical Pacific (Cobb et al. 2013) and the CESM-LME Niño3.4 420 running variance restricted to only periods during which coral data exists, respectively; 421 the trends estimated from such gappy records differ in magnitude as well as sign, 422 suggesting that both internal variability and data availability limit our ability to estimate 423 anthropogenic influences on ENSO strength. We note also that 20th century trends in 424 ensemble-mean NINO3.4 variance differ between the CESM-LME and CESM-LE 425 simulations (not pictured), perhaps a result of changes to the strength of ENSO-relevant 426 feedbacks.

427 The Mount Tambora eruption of April 1815, one of the largest eruptions during 428 the historical period, caused both local devastation and widespread human and climate 429 impacts for several years following. The subsequent year became known as "the year 430 without a summer" because of the unusually cold and wet summer conditions in North 431 America and Europe (Stommel and Stommel, 1979, 1983; Stothers, 1984) that led to 432 poor harvests and famine. It is the third largest eruption in terms of stratospheric 433 aerosol forcing since 850 yielding an anomalous reduction in TOA clear-sky solar flux over the tropical ocean of more than -4 W m<sup>-2</sup> in the decadal mean (Fig. 2e) and a peak 434 monthly reduction in excess of -27 W m<sup>-2</sup>. 435

Past studies using historical observations and proxy data have argued that large
tropical volcanic eruptions lead to an El Nino-like warming in the post eruption period
(Handler, 1984; Adams et al., 2003; McGregor et al 2010; Wahl et al., 2014), but these
results remain controversial (Self et al., 1997; Robock, 2000). Fig. 14 illustrates the
benefit of using an ensemble approach to study the relationship between ENSO and

441 large tropical eruptions. Individual realizations of the cold season tropical Pacific sea 442 surface temperature anomalies one year after the Tambora eruption peaks are shown in 443 Fig. 14 as well as the ensemble mean of the 15 ensemble members with volcanic 444 forcing. The ensemble mean, as well as 9 of the individual realizations exhibit an El Nino-like warming in the Eastern Pacific. This is significantly greater than the average 445 likelihood for an El Nino to occur in any given cold season. The other 6 ensemble 446 447 members simulate cooler or no change in the cold season tropical surface temperature 448 anomalies one year after the Tambora eruption.

449

## 450 **4. Summary, next steps, and community involvement**

451 The CESM-LME provides a more comprehensive look at climate variability since 452 850 C.E. than has been previously available to the community. Our initial analyses of the CESM-LME highlight the importance of an ensemble approach to investigate the 453 454 detailed climate responses chronicled by the proxies. That said, the present ensemble 455 does not completely account for uncertainties in the magnitudes of forcing factors; we chose one of the possible reconstructions for each of the forcings of the LM (Schmidt et 456 457 al., 2011) for our simulations. Alternate reconstructions have since become available. 458 For land use, the reconstruction of Kaplan et al. (2011) estimates total global land use-459 land cover change at 1850 A.D. to be approximately twice as large as that in the Hurtt et 460 al. (2011) or Pongratz et al. (2008) reconstructions. New volcanic reconstructions 461 incorporating additional records and better dating suggest that volcanic aerosol loading 462 for some of the largest eruptions [e.g. Samalas (1257) and Kuwae (1453)] may have been overestimated by 20-50%, and others underestimated by 20-50% (Sigl et al., 463 2014). In addition, the magnitude of solar variability is still debated (Schmidt et al., 2012; 464

Schurer et al., 2014). We plan to complete additional simulations to more fully explorethe role of the uncertainties in the reconstructed forcings.

Our simulations do not include the 'top-down' effect of solar variability (Meehl et 467 468 al., 2009). Simulations with the high-top chemistry version of CESM, the Whole 469 Atmosphere Community Climate Model (WACCM5) are being run to explore the climatic 470 responses to the stratospheric ozone changes to the solar intensity variations. New 471 capabilities in CESM1 (Hurrell et al., 2013) will allow us to repeat earlier modeling 472 studies on climate and carbon cycle dynamics over the last millennium (Jungclaus et al., 2010; Lehner et al., 2015), conduct CESM1 experiments that directly simulate the stable 473 474 water isotopes measured in the proxies, and calculate the surface mass balance of the 475 Greenland ice sheet.

476 This paper provides a few examples of the responses of CESM1(CAM5) to the natural and anthropogenic forcings from 850 to 2005. For further analyses by the 477 478 community, the CESM-LME outputs are publicly available via the Earth System Grid 479 (https://www.earthsystemgrid.org) as single variable time series in self-documenting lossless compressed netCDF-4 format. High-frequency output for regional modeling and 480 analysis of extremes is available for ensemble member #7 of the full forcing simulations. 481 482 The CESM-LME web page (https://www2.cesm.ucar.edu/models/experiments/LME) 483 provides more background on the CESM-LME project, including diagnostic plots, lists of 484 publications and ongoing projects, and instructions for reproducing the simulations. 485

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782	2373–2387, doi:10.1007/s00382-010-0967-z.

- 783 Table 1. CESM-LME simulations. Additional information about the simulations
- including the forcing datasets, saved variables, diagnostics, model support and known

issues can be found at the CESM-LME webpage:

## 786 http://www2.cesm.ucar.edu/models/experiments/LME

787

Expt	# runs	Solar variability	Volcanic eruptions	Land use	Greenhouse gases	Orbital changes	Ozone/ aerosols
Full forcings	10	Transient 850-2005	Transient 850-2005	Transient 850-2005	Transient 850-2005	Transient 850-2005	Transient 1850-2005
Solar only	4	Transient 850-2005	None	*	*	*	1850
Volcanic only	5	*	Transient 850-2005	*	*	*	1850
Land use only	3	*	None	Transient 850-2005	*	*	1850
GHG only	3	*	None	*	Transient 850-2005	*	1850
Orbital only	3	*	None	*	*	Transient 850-2005	1850
Ozone Aerosol only	2	*	None	*	*	*	Transient 1850-2005

788

789 \* Fixed at 850 values

790 Figure legends:

Fig. 1. Details of the initial states and simulation lengths of the CESM-LME control
 and forced runs.

793

794 Fig. 2. Evolution of the major forcings used for the CESM-LME including A)

volcanic mass, B) total solar irradiance (TSI), C) greenhouse gas concentrations

(GHG), D) crop and pasture extent, and E) TOA net clear sky shortwave flux over
 the tropical oceans.

798

799 Fig. 3. Northern Hemisphere annual surface temperature anomalies (°C) for mean

800 of full forcing runs (black) with 1 sigma (dark gray) and 2 sigma (light gray)

801 ranges versus various reconstructions and instrumental observed: HADCRUT4

(Morice et al., 2012) and GISTEMP (Hansen et al., 2010). As in the IPCC AR5,

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806 MA08MIN7EIVF (Mann et al. 2008), and LM08AVE (Loehle and McCulloch, 2008).

807

808 Fig. 4. Annual surface temperature changes (°C), MCA (950-1250) minus LIA

809 (1450-1850), from Mann et al. (2009) proxy-based reconstruction (top) and as

810 **simulated in the ten CESM-LME full-forcings simulations.** Stippling indicates

811 differences not statistically significant at the 95% level using the student-t test.

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814 (1450-1850) for reconstructions plotted in Fig. 3, CMIP5 LM simulations, and each

815 of the CESM-LME ensemble members.

816

817 Fig. 6: June-July-August land surface temperature anomalies (°C) for Europe (35-

818 **70°N**, **5°W-40°E**) for mean of full forcing runs (black) with 1 sigma (dark gray) and

819 **2 sigma (light gray) ranges versus the CMIP5 LM simulations.** Anomalies are

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824 America, as simulated in the CESM-LME full and single-forcing simulations.

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827

828 Fig. 8. Annual surface temperature anomalies (°C), PD (1950-2000) minus LIA

829 (1450-1850), as simulated in CESM-LME. Shown for each forcing set are the

ensemble members with minimum (left) and maximum (right) global average

temperature differences. Stippling indicates differences not statistically significant at the

832 95% level using the student-t test.

833

Fig. 9. Annual mean sea ice extent (million km<sup>2</sup>) for the Northern (a) and Southern

(b) Hemisphere in the CESM-LME single-forcing and the full-forced ensemble

simulations (smoothed with a 20-year running mean). The ensemble spread in the

full-forced ensemble is shown by grey shading in all panels and the ensemble mean of
the 10 fully-forced ensemble members is shown in cyan in the full forcing panels.

839

Fig. 10. Palmer Drought Severity Index composite differences between 1950-2000 840 841 and 850-1850 in the CESM-LME (panels a, c-h) and observations (North American 842 Drought Atlas; Cook et al. (2004), panel b). Model PDSI is computed using the Penman-Monteith potential evapotranspiration method; negative values indicate drier 843 844 conditions for 1950-2000 relative to 850-1850, positive values indicate wetter 845 conditions. Stippled locations show no significant difference between the 1950-2000 846 and 850-1850 periods, using a two-tailed T test. 847 Fig. 11. Ensemble-mean AMO and Niño3.4 power spectra [°C<sup>2</sup>/(cycles/mo] and 848 849 associated standard deviation range for full-forcing runs (black), all runs without 850 volcanic forcing (red) and runs with volcanic aerosols (blue). The time period used 851 is 850-1849, and thus excludes the ozone-aerosol forcing runs. The 850 control run is included in the "without volcanic forcing" set. 852 853 854 Fig. 12. Lead-lag relationships of AMOC PC1, NH annual sea ice extent (SIE), and 855 AMO composite responses to seven volcanic events with largest impacts on annual radiation in the North Atlantic (greater than -10 W m<sup>-2</sup> reduction in solar 856 857 flux) for CESM-LME all-forcing and volcanic-only simulations. Composites for 858 individual simulations/ensemble of simulations are shown by gray thin lines/black thick

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860 Year 0 is the first year of reduction in solar flux. Dashed lines represent 2 standard

deviations for individual (thin black) and ensemble (thick red) bootstrap random events.
The AMOC is represented with the leading order principal component (PC) time series
and EOF analysis for 33°S-60°N.

864

## Fig. 13. Analyses of modulations in ENSO variability over the Last Millennium. a)

866 20-year running Niño3.4 variance ( $^{\circ}C^{2}$ ) computed from the full-forcing CESM-LME

simulations; green and yellow shaded regions indicate periods of minima and maxima in

solar insolation, respectively. Horizontal dashed lines show the 10th and 90th

percentiles of Niño3.4 variance from the 850 control simulation. b) Same variance data

as a), plotted only over time periods for which coral proxy data have been collected. c)

Variance (per mil<sup>2</sup>) of coral oxygen isotope records ( $\delta^{18}$ O) from a stacked time series,

constructed using data from Palmyra (Cobb et al. 2003), Maiana (Urban et al. 2000),

873 Nauru (Guilderson & Schrag 1999), Tarawa (Cole et al. 1993), and Christmas

874 (McGregor et al. 2011).

875

Fig. 14. Tambora eruption (April 1815) and the simulated tropical Pacific surface
temperature anomalies (°C) during winter 1816 for the 15 CESM-LME simulations
that include volcanic forcing (top 5 rows) and mean of these simulations (bottom
row). The December to February (DJF) seasonal surface temperature anomalies for
each simulation with volcanic forcing shown here are computed relative to each
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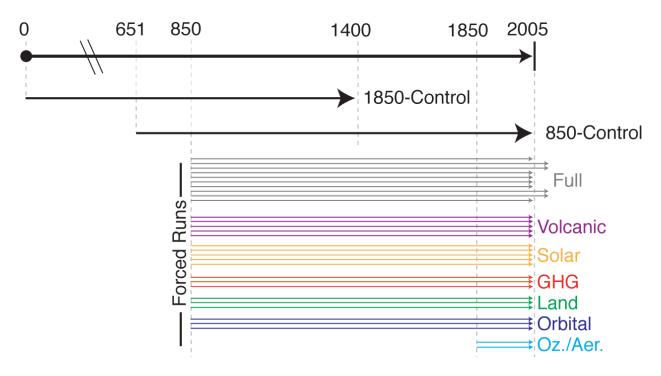


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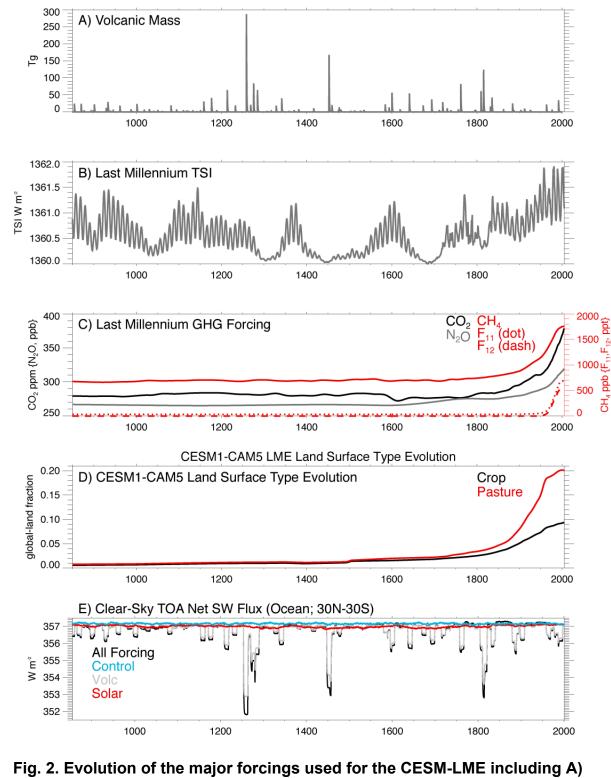




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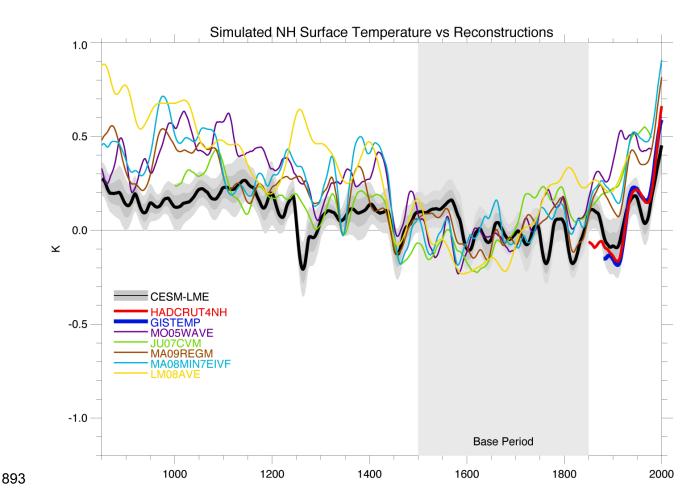


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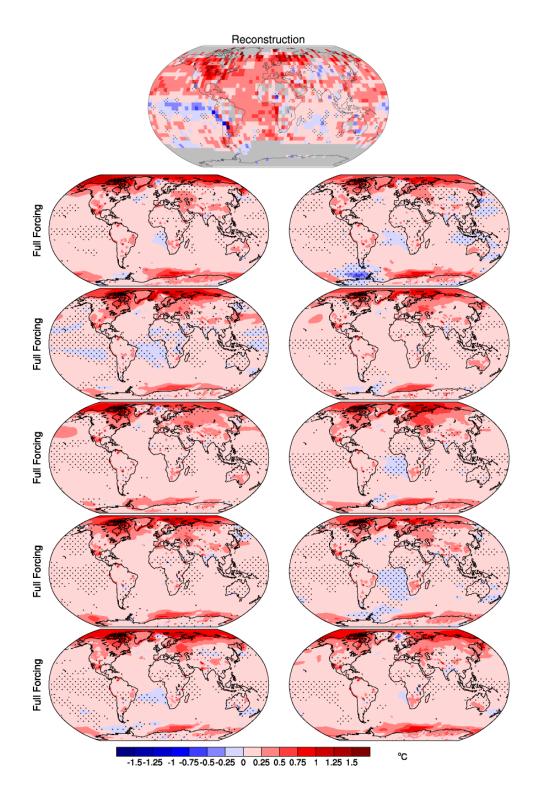
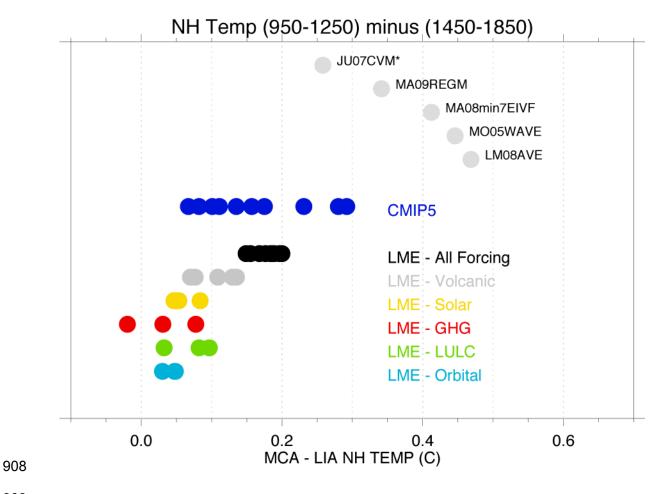


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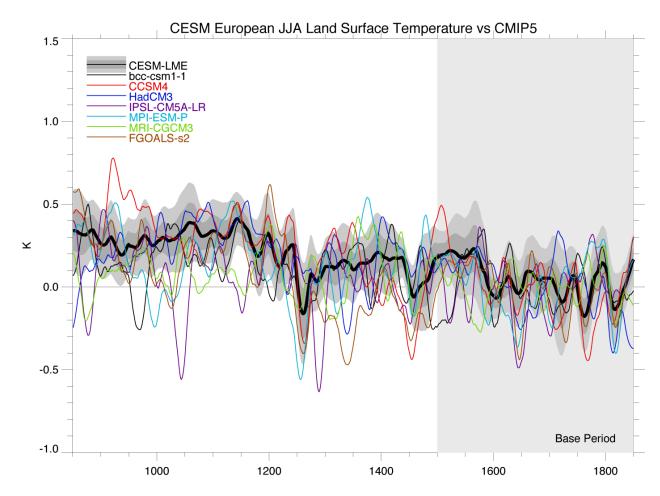
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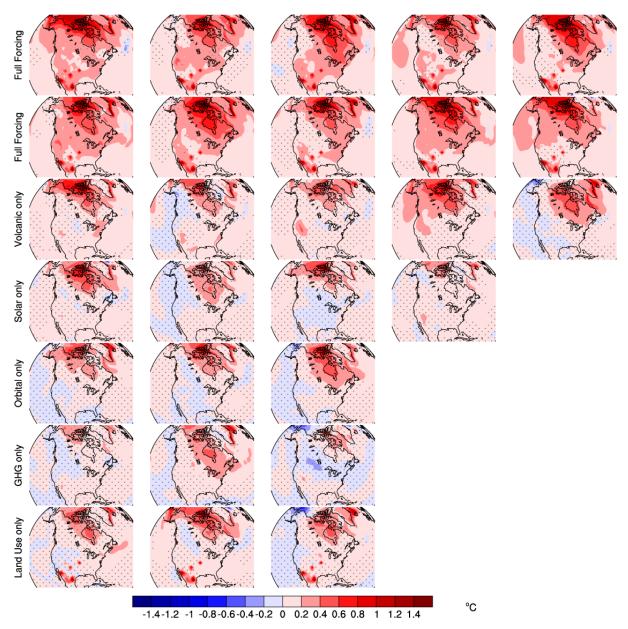
912 of the CESM-LME ensemble members.



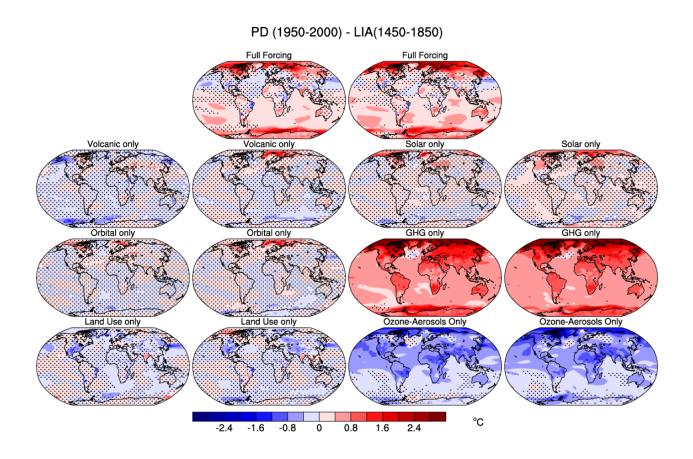
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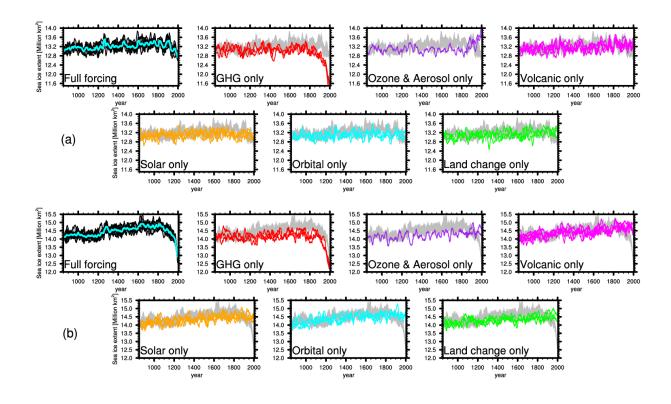
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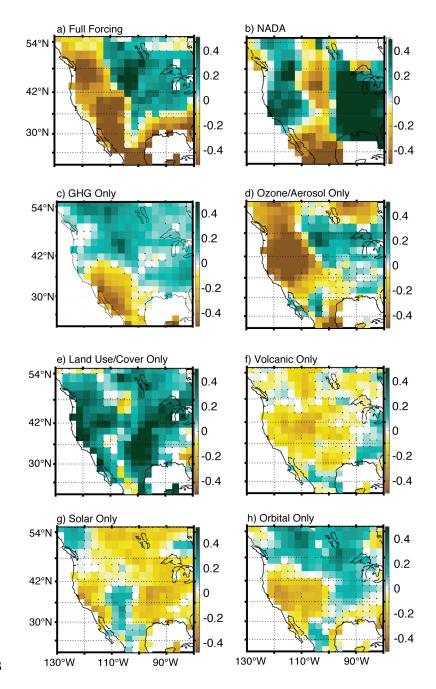
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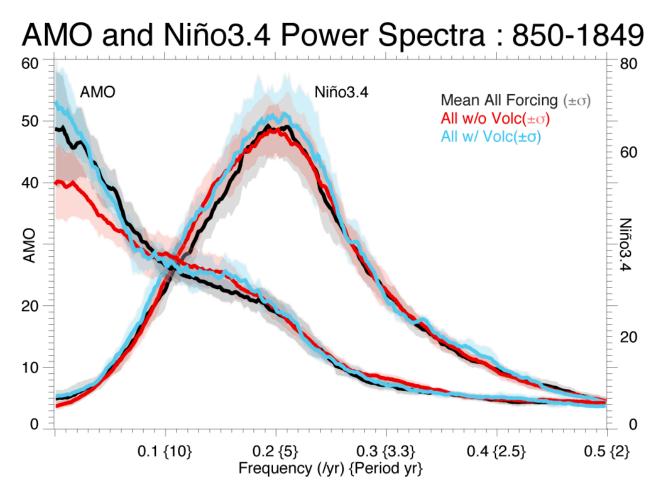


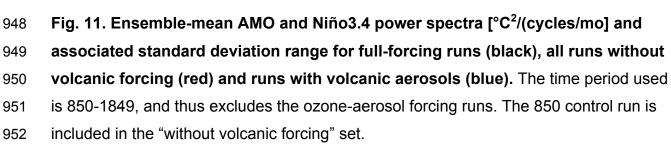
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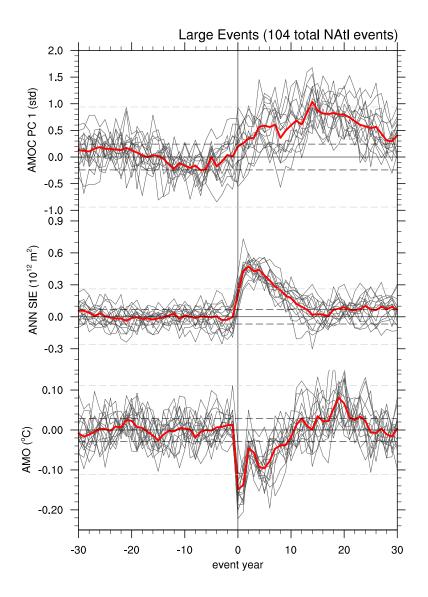
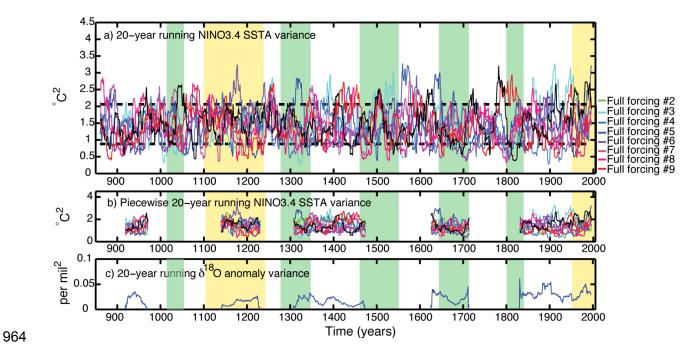
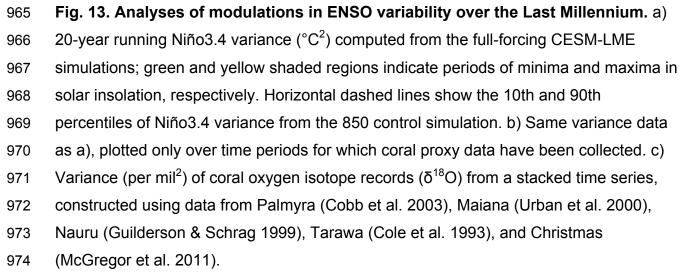


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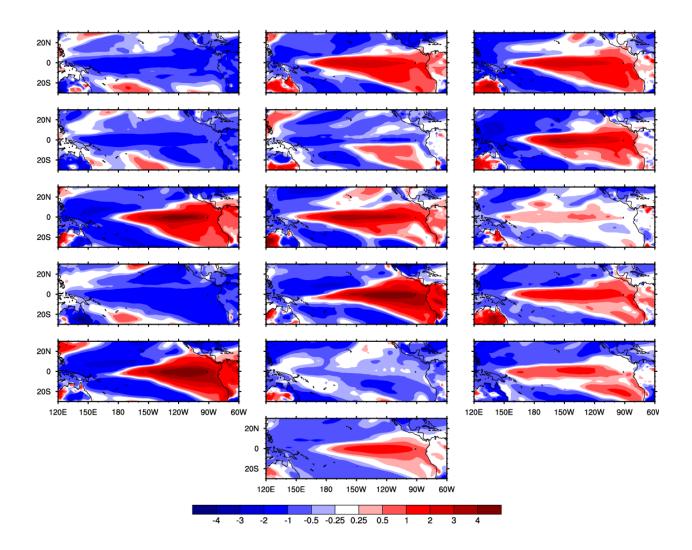


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