Atmospheric Circulation Trends, 1950-2000:
The Relative Roles of Sea Surface Temperature Forcing and
Direct Atmospheric Radiative Forcing

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Submitted to the Journal of Climate, January 29 2008
Revised May 20 and June 30, 2008

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Abstract

The relative roles of direct atmospheric radiative forcing (due to observed changes in well-mixed greenhouse gases, tropospheric and stratospheric ozone, sulfate and volcanic aerosols, and solar output) and observed sea surface temperature (SST) forcing of global December-February atmospheric circulation trends during the second half of the 20th century are investigated by means of experiments with an atmospheric general circulation model, Community Atmospheric Model Version 3 (CAM3). The model experiments are conducted by specifying the observed time-varying SSTs and atmospheric radiative quantities individually and in combination. This approach allows us to isolate the direct impact of each type of forcing agent, as well as to evaluate their combined effect and the degree to which their impacts are additive. CAM3 realistically simulates the global patterns of sea level pressure and 500 hPa geopotential height trends when both forcings are specified. SST forcing and direct atmospheric radiative forcing drive distinctive circulation responses that contribute about equally to the global pattern of circulation trends. These distinctive circulation responses are approximately additive and partially offsetting. Atmospheric radiative changes directly drive the strengthening and poleward shift of the middle latitude westerly winds in the southern hemisphere (and to a lesser extent may contribute to those over the Atlantic-Eurasian sector in the northern hemisphere), whereas SST trends (specifically those in the tropics) are responsible for the intensification of the Aleutian Low and weakening of the tropical Walker Circulation. Discrepancies between the atmospheric circulation trends simulated by CAM3 and Community Climate System Model Version 3 (CCSM3), a coupled model driven by the same atmospheric radiative forcing as CAM3, are traced to differences in their tropical
SST trends: in particular, a 60% weaker warming of the tropical Indo-Pacific in the CCSM3 ensemble mean than in nature.
1. Introduction

The atmospheric circulation plays a central role in climate, influencing the global distributions of precipitation and air temperature. Since the middle of the 20th century, the large-scale circulation has exhibited numerous changes, including an intensification of the midlatitude westerlies over the North Pacific, North Atlantic and Southern Oceans, and a weakening of the trade winds over the tropical Pacific (Trenberth and Hurrell, 1994; Hurrell, 1995; Clarke and Lebedev, 1996; Hines et al., 2000; Thompson et al., 2000; Marshall, 2003; Tanaka et al., 2004; Power and Smith, 2007). These circulation trends have contributed to coherent patterns of precipitation and temperature anomalies worldwide (Hurrell, 1996; Hurrell and van Loon, 1997; Deser et al., 2004; Hegerl and Kenyon, 2007).

The causes of observed atmospheric circulation trends over the last half century are a subject of ongoing investigation. Greenhouse gas concentration increases and stratospheric ozone depletion are considered to be the main factors responsible for the intensification of the westerlies over the Southern Ocean (Stephenson and Held, 1993; Fyfe et al., 1999; Kushner et al., 2001; Thompson and Solomon, 2002; Arblaster and Meehl, 2006; Gillett and Thompson, 2003; Thompson and Solomon, 2005; Cai and Cowan, 2007; Hegerl et al., 2007), while tropical Sea Surface Temperature (SST) changes are implicated in the circulation trends over the North Pacific (Graham, 1994; Trenberth and Hurrell, 1994; Deser et al. 2004). The latter may also play a contributing role to circulation trends over the North Atlantic (Hoerling et al., 2004; Hurrell et al., 2004), although intrinsic variability due to non-linear atmospheric dynamics is also important (Schneider et al., 2003; Hurrell et al., 2004; Bracco et al., 2004). Thus, there is
evidence that both SST changes and atmospheric radiative forcing due to changes in greenhouse gas and ozone concentrations have contributed to atmospheric circulation trends in recent decades. Note, however, that the two forcing agents are not necessarily independent since SSTs also respond to changes in atmospheric radiative forcing.

Global coupled climate models can be insightful for understanding the atmospheric circulation response to increasing greenhouse gas concentrations since they contain both the direct effects of atmospheric radiative forcing (via differential changes in atmospheric heating) and the indirect effects (via changes in SST). However, for the purpose of attribution in the real climate system, they also have inherent limitations since their SST response (and especially the regional details of their SST response) may differ from the observed SST evolution, thereby affecting the fidelity of the simulated circulation trends (Gillett et al., 2005; Hegerl et al., 2007).

One way to circumvent this limitation is to specify the observed time-evolving distribution of SSTs and atmospheric radiative forcing in an atmosphere-only model. While this approach does not address the origin (natural or anthropogenic) of the SST changes, it does ensure that the oceanic forcing is realistic. We note that there is a long history of atmospheric model integrations driven by observed time-varying SSTs as the lower boundary condition, the so-called “Atmospheric Model Intercomparison Project” (AMIP) configuration (Gates et al., 1999). These traditional AMIP experiments do not, however, take into account the direct effects of atmospheric radiative forcing upon the circulation.

The methodology of specifying both the observed time-evolving distributions of SST and atmospheric radiative forcing in an atmosphere-only model was first introduced
by Folland et al. (1998). Note that this approach does not “double count” the atmospheric radiative forcing because only the direct effect is specified while the indirect effect is included in the prescribed SST forcing. Folland et al. (1998) focused on the vertical structure of the temperature trend response in the troposphere and lower stratosphere during 1961-1994 to SST vs. direct atmospheric radiative forcing. Using a similar methodology, Compo and Sardeshmukh (2007) found that the recent warming over land has occurred as a response to oceanic warming through enhanced downward longwave radiation due to increased atmospheric moisture rather than as a direct response to increasing greenhouse gases.

Following the same approach, Bracco et al. (2004) investigated the atmospheric circulation response to observed SST forcing vs. direct atmospheric radiative forcing over the second half of the 20th century using an atmospheric GCM of intermediate complexity and idealized atmospheric radiative forcing. Focusing on the response of the extratropical northern hemisphere circulation during winter, they found that SST variations contributed to trends over the Pacific-North American sector with a partially counteracting effect from greenhouse gas increases, while trends over the North Atlantic-European region are primarily consistent with intrinsic variability and a weak (and not statistically significant) contribution from greenhouse gas increases.

The same methodology has been used for understanding future projections of atmospheric circulation change. In particular, Cash et al. (2005) found that the circulation response of an atmospheric general circulation model (AGCM) to combined forcing from doubled CO2 and tropical SST changes at the time of CO2 doubling is consistent with the circulation response to doubled CO2 in a coupled ocean-atmosphere GCM from which
the SST changes were taken. Cash et al. (2005) did not investigate the individual impacts of CO₂ and tropical SST anomalies upon the model’s atmospheric circulation. This issue was addressed in an earlier study by Stephenson and Held (1993) who found that the winter stationary wave response over the North Pacific and Canada as well as the increase in the southern hemisphere westerlies in a doubled CO₂ scenario was due to SST forcing rather than direct atmospheric radiative forcing.

In this study, we analyze the relative roles of SST and atmospheric radiative changes in forcing global atmospheric circulation trends during 1950-2000 by means of ensemble simulations with Community Atmospheric Model Version 3 (CAM3). The atmospheric radiative forcing includes changes in greenhouse gases, tropospheric and stratospheric ozone, sulfate and volcanic aerosols, and solar output, taken from Meehl et al. (2003). The CAM3 experiments are conducted by specifying the observed time-varying SSTs and atmospheric radiative quantities individually and in combination. This approach allows us to isolate the direct impact of each type of forcing agent, as well as to evaluate their combined effect and the degree to which their impacts are additive. We also assess the role of tropical SST variations by means of additional CAM3 integrations, and compare the simulated atmospheric circulation trends in CAM3 with those in the coupled Community Climate System Model Version 3 (CCSM3: of which CAM3 is the atmospheric component model) over the same time period. It is important to note that this study does not attempt to distinguish anthropogenic from natural forcing of recent atmospheric circulation trends: rather, it assesses the relative roles of oceanic and direct atmospheric radiative driving. However, we draw some possible inferences regarding the
role of anthropogenic forcing from the comparison between the CAM3 and CCSM3 results.

There are shortcomings to the design and physical interpretation of all atmosphere-only model experiments due to the lack of coupling with an underlying ocean. In particular, traditional AMIP experiments have been criticized for specifying SST variations in regions where these are due to, and not a cause of, overlying atmospheric circulation changes (e.g., Kumar and Hoerling, 1998; Bretherton and Battisti, 2000). This is particularly an issue in the extratropics where the dominant direction of interaction is that of the atmosphere forcing the ocean (e.g., Cayan, 1992; Deser and Timlin, 1997) and may also be a factor in certain portions of the tropics, for example the Indian Ocean (Kumar and Hoerling, 1998; Klein et al, 1999; Deser and Phillips, 2006); it is less of a concern in the tropical Pacific where SST anomalies are known to exert a direct effect on deep atmospheric convection. As we shall show, the atmospheric circulation trends simulated by CAM3 in response to SST forcing are largely a result of SST changes in the tropics, and in particular, rainfall changes over the equatorial Pacific. Thus, we do not consider the AMIP experimental design to be unduly problematic in our case, although it remains a caveat in the interpretation of our results. By the same token, atmosphere-only model experiments forced with changes in greenhouse gas concentrations and other radiatively important chemical constituents contain artificially large downward surface energy fluxes due to the lack of an interactive ocean. Nevertheless, atmosphere-only model experiments remain a useful albeit imperfect tool for probing the physical mechanisms of the atmospheric circulation response to different types of forcing.
In this study, we examine the global atmospheric circulation trends during 1950-2000 and address the following questions. How realistic are the trends simulated by CAM3 when forced with the combined observed evolution of SST forcing and atmospheric radiative forcing? Which components of the simulated trends are due to SST and which to direct atmospheric radiative forcing, and how are they related? To what extent are the responses to the two types of forcing additive? How do the trends in the CAM3 simulations compare with those in CCSM3, and what is the origin of their differences? We focus on the boreal winter season, December-February (DJF), when the simulated circulation trends are most similar to their observed counterparts. Model simulations are not available past the year 2000, precluding any analysis of trends extending into the 21st century.

The rest of the paper is organized as follows. The model simulations, observational data sets, and methodology are described in Section 2. The results are presented in Section 3. A summary and discussion are provided in Section 4.

2. Data and Methods

a. Model and Observational Data Sets

The primary model archive for this study is a set of simulations conducted with CAM3 at two horizontal resolutions: T85, equivalent to 1.4° latitude x 1.4° longitude; and T42, equivalent to 2.8° latitude x 2.8° longitude. The physical and numerical methods used in CAM3 are given in Collins et al. (2006) and references therein. The model’s mean state and interannual variability are described in Hurrell et al. (2006), Hack et al. (2006) and Deser et al. (2006).
Four sets of ensemble integrations were performed with CAM3, labeled according to their forcing characteristics (see Table 1). The “SST+ATM” ensemble is forced by the observed evolution of global SSTs and sea ice concentrations plus atmospheric chemical composition (greenhouse gases, tropospheric and stratospheric ozone, and sulfate and volcanic aerosols) and solar output during 1950-2000. The “SST” ensemble is forced by the observed evolution of global SSTs and sea ice concentrations during 1950-2000, with atmospheric chemical composition and solar output set at 1990 levels. The “Tropical SST” ensemble is identical to the “SST” ensemble except that SSTs poleward of 20ºN and poleward of 20ºS are set to their climatological mean seasonal cycles. The “ATM” ensemble is forced by the observed evolution of atmospheric chemical composition and solar output during 1950-2000 (identical to that in “SST+ATM”), with SSTs and sea ice concentrations set to their climatological mean seasonal cycles.

The SST and sea ice concentrations are from the data set of Hurrell et al. (2008), and the atmospheric forcing fields are given in Meehl et al. (2006) and are identical to those used for the 20th century integrations of CCSM3. The “SST+ATM”, “SST” and “Tropical SST” ensembles each consist of 5 integrations at T85 resolution and 5 integrations at T42 resolution. Given the similarity between the ensemble mean atmospheric circulation trends at T42 and T85 (not shown), we have averaged the trends from the two model resolutions together to form 10-member ensemble means. The “ATM” ensemble consists of 10 integrations at T42 resolution.

In addition to the atmosphere-only model integrations, we analyze a 7-member ensemble of 20th century (1870-1999) simulations with the Community Climate System Model Version 3 (CCSM3; Collins et al. 2006), a global coupled climate model run.
without flux adjustments. CCSM3 is comprised of an atmospheric model (CAM3), an ocean model (the Parallel Ocean Program), a land model (the Community Land Model), and a sea ice model (the Community Sea Ice Model version 4). The CCSM3 simulations are conducted at T85 horizontal resolution and forced with the same evolution of atmospheric chemical composition and solar output as the $SST^{+ATM}$ and $ATM$ experiments. The evolution of global mean air and ocean temperatures over the 20$^{th}$ century from the CCSM3 simulations are documented in Meehl et al. (2006).

We make use of several observational data sets, including: sea level pressure (SLP) from the International Comprehensive Ocean-Atmosphere Data Set (ICOADS; Worley et al., 2005) on a 2$^\circ$ latitude x 2$^\circ$ longitude grid; SLP from the HadSLP2 data set (Allan and Ansell, 2006) on a 5$^\circ$ latitude x 5$^\circ$ longitude grid; and SLP and 500 hPa geopotential heights (Z500) from the National Centers for Environmental Prediction-National Center for Atmospheric Research (NCEP-NCAR) Reanalysis Project (Kalnay et al., 1996; Kistler et al., 2001) on a 2.5$^\circ$ latitude x 2.5$^\circ$ longitude grid. These observational archives differ in their spatial coverage as well as in their degree and sophistication of data processing: ICOADS is an archive of surface marine observations containing minimal data processing (e.g., standard quality control procedures but no filling in of missing data); HadSLP2 is an optimally-interpolated blended archive of land station records and marine observations from the ICOADS; and the NCEP-NCAR Reanalysis Project is a state-of-the-art model-data assimilation system. For the data-sparse high latitude region of the southern hemisphere, we use station-based SLP indices of the Southern Hemisphere Annular Mode (SAM) from an updated version of Marshall (2003).
b. Methods

We use linear trend analysis as a simple way of characterizing changes in atmospheric circulation that have occurred over the period of study as a whole (1950-2000). This approach is validated using epoch difference analysis (1981-2000 minus 1950-1961) which yields practically identical results (not shown). To compute the linear trends, we first formed monthly anomalies by subtracting the long-term monthly means based on the period 1950-2000 from each calendar month. The monthly anomalies were then averaged over the boreal winter season (DJF), and the DJF trends computed using least-squares linear regression. The statistical significance of the linear trend values was assessed by means of a 2-sided Student’s t-test taking into account serial correlation (von Storch and Zwiers, 1999). Thus, the statistical significances of the trend values are evaluated with respect to interannual variability.

For the ICOADS which contains substantial amounts of missing data, a trend was computed only if at least 25% of the winters in a given grid box had data. To improve the readability of the trend maps based on the ICOADS, linear interpolation (across gaps not exceeding 5 points in longitude and 3 points in latitude) and weighted binomial smoothing (9 points in longitude and 3 points in latitude) were applied to the trend values.

3. Results

a. SST+ATM vs. observations

Observed DJF SLP trends from 1950 to 2000 are shown in Fig. 1 (left) based on three different data sets (HadSLP2, ICOADS, and NCEP-NCAR Reanalyses). Values
south of 40°S are omitted due to lack of reliable observations dating back to 1950 (Hines et al., 2000; Marshall, 2003). The three observational data sets agree on the overall pattern of SLP trends: negative values over high latitudes of the northern hemisphere and the north Pacific, and positive values over middle latitudes of the northern hemisphere except the Pacific sector (maximum amplitudes ~ 4 - 6 hPa per 51 yrs); and weaker trends over the tropics (negative values over the eastern tropical Pacific and positive values elsewhere). The trends in the NCEP-NCAR Reanalyses are larger than those in either the ICOADS or HadSLP2. Regionally, the largest trends occur over the North Pacific, North Atlantic, Eurasia and northern Africa: all are significantly different from zero at the 95% level. The negative trend over the North Pacific is indicative of a deepening of the Aleutian Low, while over the Atlantic-Eurasian sector the negative trend over high latitudes coupled with the positive trend over middle latitudes is indicative of a positive trend in the North Atlantic Oscillation (NAO; Hurrell, 1995).

The pattern of SLP trends simulated in the SST+ATM ensemble mean (Fig. 1d) compares well with observations: the pattern correlation is 0.73 with HadSLP2 and 0.65 with NCEP-NCAR Reanalyses based on area-weighted anomalies north of 40°S. In particular, the model simulates the deepening trend of the Aleutian Low, the positive trend in the NAO (although not statistically significant as it is in observations), and the pattern of trends over the tropics (especially when compared with the HadSLP2 data set). The magnitudes of the simulated SLP trends are generally smaller than observed over the northern hemisphere extra-tropics, while they are comparable to the HadSLP2 and ICOADS observations but weaker than the NCEP-NCAR Reanalyses over the tropics.
In the Southern Hemisphere, the $SST+ATM$ ensemble mean simulates a zonally-symmetric pattern of positive SLP trends in middle latitudes (35º-55ºS) and negative trends at high latitudes (south of 55ºS), indicative of a positive trend in the Southern Annular Mode (SAM: Thompson et al., 2000; Marshall, 2003). Although the gridded observational data sets are unreliable for computing SLP trends south of 40ºS before the 1970s (see Arblaster and Meehl, 2006), a dozen station records may be used to define the SAM back to 1957 (Marshall, 2003). Six stations are located within the middle latitude (37º- 47ºS) center of action of the SAM and six within the high latitude center of action (65º - 71ºS). The observed SLP trends for the middle and high latitude centers of action during the period 1957-2000 are 1.6 hPa per 44 yrs and -2.5 hPa per 44 yrs, respectively; statistically significant at the 95% confidence level. The simulated trends in the $SST+ATM$ ensemble mean are in good agreement with the station-based observational estimates, with values of 1.4 hPa per 44 yrs and -3.6 hPa per 44 yrs for the middle and high latitude centers of action, respectively, based on zonally-averaged SLP anomalies during the period 1957-2000 (significant at the 95% confidence level). We defer discussion of the SLP trends simulated in the $SST$ and $ATM$ ensemble means (Figs. 1d and f) to Section 3b.

The observed trends in $Z500$ from the NCEP-NCAR Reanalyses are shown in Fig. 2a (as for SLP, values south of 40ºS are omitted due to lack of reliable data). In the extra-tropics, the pattern of $Z500$ trends is similar to that of SLP, consistent with the equivalent barotropic structure of the annular modes and the Aleutian Low which is associated with the Pacific North American (PNA) pattern in the middle and upper troposphere (Trenberth and Hurrell, 1994). In the tropics, the trends in $Z500$ (and $Z1000$-
Z500 thickness; not shown) are positive everywhere, reflecting a general warming of the lower troposphere. The pattern of Z500 trends simulated by the $SST+ATM$ ensemble mean (Fig. 2b) compares well with observations: the pattern correlation is 0.76 with the NCEP-NCAR Reanalyses based on area-weighted anomalies north of 40°S. Like SLP, the Z500 trend magnitudes are underestimated in the model ensemble mean compared to the NCEP-NCAR Reanalyses.

To provide a context for the circulation changes, the simulated SLP trend (color shading) is superimposed upon the climatological mean SLP distribution (contours) from the $SST+ATM$ ensemble mean in Fig. 3. The negative SLP trend over the north Pacific reflects a deepening and eastward extension of the Aleutian Low, and a weakening of the Subtropical High off the coast of California. The negative (positive) SLP trend over the northern (central) north Atlantic extending eastward over Europe and North Africa reflect an in situ strengthening and a slight northward shift of the mean Icelandic Low and Azores High. In contrast, in the southern hemisphere, positive SLP trends are located along the southern flanks of the Subtropical Highs within the zone of maximum mean meridional SLP gradient. Coupled with negative SLP trends over the mean Polar Low, this trend pattern is indicative of a poleward shift and intensification of the southern hemisphere middle latitude westerlies. In the tropics, the SLP trends are indicative of a weakening of the mean zonal pressure gradient across the Indo-Pacific (e.g., a weakening of the Walker Circulation). Similar relationships between the trend and climatology are found for Z500 from the model simulations and for SLP and Z500 from observations (not shown).
To give an idea of the sampling distribution of the simulated trend patterns in the $SST+ATM$ ensemble, histograms of the trend pattern correlations between each ensemble member and the ensemble mean are shown in Figs. 4 a and b for SLP and Z500, respectively. Nine out of 10 ensemble members exhibit pattern correlations with the ensemble mean that exceed 0.6 (0.7) for SLP (Z500). Analogous histograms of the pattern correlations for each of the 10 $SST+ATM$ ensemble members with observations (HadSLP2 for SLP and NCEP-NCAR Reanalysis for Z500) exhibit a larger spread than those with the model’s ensemble mean, but the majority (70 – 80 %) of ensemble members exhibit pattern correlations exceeding 0.5 (Figs. 4 c and d). The highest pattern correlation between a single ensemble member and observations is 0.76 for SLP and 0.79 for Z500, and the lowest is -0.26 for SLP and 0.20 for Z500.

b. SST forcing vs. direct atmospheric radiative forcing

Given the overall agreement between the observed and simulated $SST+ATM$ ensemble mean trend patterns for both SLP and Z500, we proceed to examine the relative contributions of SST forcing and direct atmospheric radiative forcing in driving the simulated trends (Figs. 1 e and f; Figs. 2 c and d). It is evident that the different forcing agents produce distinctive spatial patterns of atmospheric circulation response. Direct atmospheric radiative forcing is responsible for the zonally-symmetric circulation response over the southern hemisphere extra-tropics (e.g., the positive trend in the SAM), and to a lesser extent the positive trend in the NAO although the centers of action are northward-shifted with respect to the canonical pattern. SST forcing produces the deepening of the Aleutian Low, and the SLP trend pattern over the tropics (negative
values in the eastern Pacific and positive values elsewhere). Both forcing agents
contribute to the zonally-uniform positive Z500 trend in the tropics, with the contribution
from SST approximately 3 times larger than that from atmospheric radiative forcing. SST
trends also lead to a ridge response over the Pacific sector of the high latitude Southern
Ocean.

The distinctive patterns of atmospheric circulation response due to SST forcing
and direct atmospheric radiative forcing contribute about equally to the global pattern of
circulation trends in the SST+ATM simulation, and by inference, nature. The pattern
correlations between the SLP (Z500) trends in the SST+ATM ensemble mean and those in
the SST and ATM ensemble means are 0.54 and 0.52 (0.75 and 0.44), respectively. In
addition to producing distinctive circulation trend responses, SST forcing and direct
atmospheric radiative forcing also partially offset one another in certain regions: for
example, the central North Pacific, tropical eastern Pacific (SLP only), pan-Atlantic, and
Southern Ocean.

Figure 5 summarizes the impacts of SST forcing and direct atmospheric radiative
forcing upon four regional circulation patterns: the Aleutian Low, the NAO, the SAM,
and the Southern Oscillation. The simulated trends from observations and the model
ensemble means (labeled according to their forcing characteristics) are denoted by
vertical bars, with dark shading indicating trends that are significant at the 95%
confidence level; the trends from the individual ensemble members are denoted by plus
signs. The regional circulation patterns are defined as follows. The Aleutian Low is given
by the “North Pacific Index” (NPI; Trenberth and Hurrell, 1994) which is the average
SLP over the domain [30°-65°N, 160°E-140°W]; the NAO is the SLP difference between
The observed NPI trend (-2.6 hPa per 51 yrs) is well reproduced by the $SST+ATM$ ensemble mean (-2.2 hPa per 51 yrs), and both are statistically significant at the 95% level (Fig. 5a). Three of the ensemble members exhibit near-zero trends, while the remaining 7 exhibit trends between -2 and -4 hPa per 51 yrs. The statistically significant NPI trend in the $SST$ ensemble mean (-3.9 hPa per 51 yrs) is partially offset by the trend in the $ATM$ ensemble mean (0.7 hPa per 51 yrs; not significant), and there is no overlap between any of the individual ensemble members of $SST$ and $ATM$.

The observed SAM trend (5.5 hPa per 51 yrs; note that this is based on station data during 1957-2000, rescaled to units of per 51 yrs) is also well simulated by the $SST+ATM$ ensemble mean (3.7 hPa per 51 yrs), and both are statistically significant at the 95% level. However, there is a sizeable spread among the individual $SST+ATM$ ensemble members, ranging from 1 hPa per 51 yrs to 8 hPa per 51 yrs (Fig. 5c). The statistically significant SAM trend in the $ATM$ ensemble mean (5.3 hPa per 51 yrs) is partially offset by the trend in the $SST$ ensemble mean (-0.9 hPa per 51 yrs; not significant), with very little overlap between any of the individual ensemble members of $SST$ and $ATM$.

The results for the NAO and SOI are less robust than those for the NPI and SAM: only the observed NAO trend is statistically significant at the 95% level, while the
observed SOI trend and none of the model ensemble mean trends are significant. The observed NAO and SOI trends (4.3 hPa per 51 yrs and -0.65 hPa per 51 yrs, respectively) are underestimated by the SST\textit{+}ATM ensemble mean (1.7 hPa per 51 yrs and -0.36 hPa per 51 yrs, respectively), and there is a large spread among the individual ensemble member trends (Figs. 5b and 5d). The ATM ensemble mean NAO trend is near zero due to the northward shift of the response relative to that in the SST\textit{+}ATM ensemble mean (e.g., Fig. 1d vs. 1f), and there is complete overlap between the NAO trends simulated in the individual ensemble members of SST and ATM. The SST ensemble mean SOI trend is nearly identical to that in SST\textit{+}ATM, while the contribution from the ATM ensemble mean is near zero. Some overlap occurs in the SOI trends between the individual ensemble members of SST and ATM.

c. Linearity of the response to SST and ATM forcing

The degree to which the atmospheric circulation trend responses to SST forcing and direct atmospheric radiative forcing are additive may be assessed by comparing the SST\textit{+}ATM ensemble mean with the sum of the SST and ATM ensemble means (Fig. 6). Overall, there is a high degree of linearity as evidenced by the similarity of their spatial distributions (pattern correlations of 0.87 and 0.92 for SLP and Z500, respectively) and amplitudes (pattern regressions of 1.06 for both SLP and Z500). Regionally, the amplitudes of the tropical circulation trends are highly linear (see also the SOI in Fig. 5d), while the circulation trends over the North Pacific and extratropical Southern Hemisphere are ~ 20-30% larger in the sum of the SST and ATM ensemble means than in the SST\textit{+}ATM ensemble mean (reflected also in the NPI and SAM in Figs. 5a and c). The
NAO circulation trends in the $SST+ATM$ ensemble mean are not well reproduced by adding the trends from the $SST$ and $ATM$ ensemble means (see also Fig. 5b).

Another view of the degree of linearity of the atmospheric circulation trend responses to SST forcing and direct atmospheric radiative forcing is given in Fig. 7 which shows the SLP and Z500 trends obtained by subtracting the $SST$ ensemble mean from the $SST+ATM$ ensemble mean. Ostensibly attributable to atmospheric radiative forcing, these trends show similarities and differences with their $ATM$ counterparts (Figs. 1f and 2d). While the SAM and tropical trends are similar to those in the $ATM$ ensemble mean, there are some differences over the extratropical northern hemisphere: in particular, the negative trends over the northern center of action of the NAO are considerably larger in magnitude, and the positive trends over the north Pacific are stronger and of greater spatial extent. The extratropical northern hemisphere circulation trends obtained using the difference between the $SST+ATM$ and $SST$ experiments exhibit a more zonally-symmetric appearance than those obtained from the $ATM$ ensemble directly, especially for Z500. These results are robust for both the T42 and T85 ensemble means individually (not shown).

d. The role of tropical SSTs

The pattern of atmospheric circulation trends simulated by the $SST$ ensemble is reminiscent of that associated with the warm phase of ENSO (not shown but see for example Deser et al., 2006). This suggests that the trend response in the $SST$ ensemble originates from SST changes in the tropics. To examine this possibility, we compare the SLP trends simulated by the Tropical $SST$ and $SST$ ensemble means in Fig. 8. There is a
high degree of correspondence between the two trend distributions, with a pattern correlation (regression) coefficient of 0.85 (0.83). Similar results are found for the Z500 trends (not shown). It is noteworthy that the extratropical SLP trends, in particular the statistically significant trends over the North Pacific and Southern Ocean, are very similar between the two sets of experiments, indicating that they originate from the tropical portion of the SST forcing. The main discrepancy between the SLP trend responses to global vs. tropical SST changes occurs over the north Atlantic, Arctic and Siberia (e.g., the NAO region) where the responses are opposite in sign, although neither is statistically significant. The tropical control of SST-induced global atmospheric circulation trends has been previously highlighted by Schneider et al. (2003), Schubert et al. (2004) and Huang et al. (2005), among others.

The basic mechanism by which tropical SST variations impact the extratropical atmospheric circulation is through the meridional dispersion of planetary Rossby waves forced by latent heat release in tropical precipitation, along with attendant feedbacks between the mean flow anomalies and transient eddy activity in midlatitudes (e.g., Hoskins and Karoly, 1981; Trenberth et al., 1998). The tropical precipitation trends simulated by the SST and Tropical SST ensemble means show nearly identical patterns, with positive values along the equatorial Pacific and negative values directly to the north as well as over the northeastern portion of South America (Fig. 8). Except for the reduced magnitudes within the South Pacific Convergence Zone, the simulated precipitation trends resemble those in nature as inferred from trends in marine cloudiness and surface wind convergence (Deser and Phillips, 2006), and also those that occur in association with the warm phase of ENSO (Deser et al., 2006). Although not apparent from Fig. 8
due to the color scheme used, the $SST$ and $Tropical\ SST$ ensembles also reproduce the observed pattern of precipitation trends over North and South America (see for example Deser et al., 2004), consistent with the results of Huang et al. (2005).

Given the similarity between the circulation responses in the $SST$ and $Tropical\ SST$ ensembles as well as the high degree of linearity of the responses to oceanic and atmospheric radiative forcing, it follows that the circulation trend in the $SST+ATM$ ensemble mean may be reconstructed from the sum of the individual circulation trend responses in the $Tropical\ SST$ and $ATM$ ensemble means, with pattern correlations of 0.89 for SLP and 0.91 for $Z_{500}$ (Figs. 6 e and f). The goodness of fit of this reconstruction also holds for the regional circulation indices (Fig. 5).

e. Coupled model experiments (CCSM3)

It is of interest to examine the atmospheric circulation trends simulated by CCSM3 and compare them with those from the CAM3 $SST+ATM$ ensemble, given that both sets of model integrations are forced with identical atmospheric radiative changes. We focus on the CCSM3 ensemble mean to isolate primarily the anthropogenically-forced component of the simulated trend (recall that the contributions of solar and volcanic forcing are small compared to those of greenhouse gases, ozone, and sulfate aerosols over the second half of the 20th century: Meehl et al., 2003). Thus, any discrepancies in the atmospheric circulation trends between the CCSM3 ensemble mean and CAM3 $SST+ATM$ (and by inference, nature) are due to differences in their SST evolutions, either as a result of natural (internal) variability in the real climate system,
deficiencies in CCSM3’s SST response to atmospheric radiative forcing, residual internal variability in the 7-member CCSM3 ensemble mean, or a combination of the above.

The SLP and Z500 trends from the CCSM3 ensemble mean exhibit similarities and differences with their CAM3 SST+ATM ensemble mean counterparts (Fig. 9). Similarities include statistically significant trends over the Southern Hemisphere extratropics (negative values over high latitudes coupled with positive values over middle latitudes, indicative of a positive trend in the SAM; see also Fig. 5c), and statistically significant Z500 trends in the tropics reflecting a warming of the lower troposphere as indicated by an increase in 500 hPa-1000 hPa geopotential thickness (not shown). The most notable discrepancies are the SLP trends over the tropical eastern Pacific and Atlantic, and the SLP and Z500 trends over the North Atlantic/Eurasian sector, which are of opposite sign in the two models. As a result, the SOI and NAO trends in CCSM3 are of opposite polarity to those in CAM3 (and nature), although neither is statistically significant (Fig. 5). In addition, the deepening of the Aleutian Low is about a factor of 3 weaker and not statistically significant in CCSM3 compared to CAM3 (see also Fig. 5a). Overall, the pattern correlation between the ensemble mean CCSM3 and CAM3 SST+ATM trends is 0.47 for SLP and 0.64 for Z500. The pattern correlation between the CCSM3 ensemble mean and observations is 0.19 for SLP and 0.37 for Z500 based on data north of 40° S; considerably weaker than that between the CAM3 SST+ATM ensemble mean and observations (0.73 for SLP and 0.76 for Z500).

Given that both sets of model integrations (CCSM3 and CAM3 SST+ATM) are driven with identical atmospheric radiative forcings, differences in their SST trends are likely responsible for the regional discrepancies in their circulation trends. Indeed, the
regions where the two model simulations differ are all areas where SST forcing, and in particular tropical SST forcing, is responsible for the circulation trends in CAM3 SST+ATM and by inference nature, with the exception of the North Atlantic-Eurasian sector where the trends are not statistically significant to begin with. Tropical SST trends in CCSM3 are more spatially uniform and weaker in amplitude than in nature, with an average magnitude of 0.3 – 0.4 °C per 51 yrs in the model compared to ~ 0.8 °C per 51 yrs in the tropical Indian and Atlantic and ~1.2 °C per 51 yrs in the eastern Pacific in the observations (Figs. 9 e and f). The associated trends in tropical precipitation are considerably smaller in magnitude in CCSM3 compared to those in CAM3 (Figs. 9 g and h), which may account for the weaker NPI and SOI trends in the coupled model compared to CAM3 and nature. We have verified that the weak tropical precipitation response in CCSM3 is indeed due to the model’s tropical SST simulation: forcing CAM3 with the time-evolving tropical SSTs from the CCSM3 ensemble mean results in a tropical precipitation trend that is very similar in both amplitude and spatial distribution to that in CCSM3 (not shown).

4. Summary and Discussion

We have investigated the relative roles of observed SST forcing and direct atmospheric radiative forcing (due to observed changes in well-mixed greenhouse gases, tropospheric and stratospheric ozone, sulfate and volcanic aerosols, and solar output) of global DJF atmospheric circulation trends over the second half of the 20th century by means of ensemble simulations with CAM3, a state-of-the art AGCM. The model’s 10-member ensemble mean simulates well the observed patterns of SLP and Z500 trends
over the globe when forced with the observed evolution of SST and atmospheric radiative forcings combined, lending credence to our approach. Individually, SST forcing and direct atmospheric radiative forcing produce distinctive circulation responses that contribute about equally to the global pattern of circulation trends. These distinctive circulation responses are approximately additive and partially offsetting. In particular, atmospheric radiative changes directly drive the strengthening and poleward shift of the middle latitude westerly winds in the southern hemisphere, manifest as a positive trend in the SAM, and to a lesser extent may contribute to the corresponding feature in the northern hemisphere (e.g., a positive trend in the NAO albeit with a northward shift). On the other hand, SST changes (specifically those in the tropics) are responsible for the intensification of the Aleutian Low and weakening of the tropical Walker circulation. Our findings are generally consistent with those of previous studies, and provide additional insight by clarifying the individual roles of SST forcing and direct atmospheric radiative forcing and by placing the regional circulation trends into a global context.

The positive trend in the SAM in recent decades has been attributed to increasing greenhouse gas concentrations and stratospheric ozone depletion in coupled ocean-atmosphere modeling studies (Arblaster and Meehl, 2006; Cai and Cowen, 2007). Approximately 50% to 70% of the SAM trend in southern hemisphere summer (DJF) is estimated to be due to ozone depletion, with increases in greenhouse gases being of lesser importance (Arblaster and Meehl, 2006; Cai and Cowen, 2007). These and other coupled modeling studies do not distinguish between the direct impact of atmospheric radiative forcing and the indirect effect due to altered SSTs upon the trend in the SAM. In this study, we have shown explicitly that the direct effect of atmospheric radiative forcing is
responsible for the positive trend in the SAM during the second half of the 20\textsuperscript{th} century, with SST forcing counteracting this trend (extratropical SST forcing acts as a weak positive feedback but it is overwhelmed by the negative feedback due to tropical SST forcing; not shown).

The impact of direct atmospheric radiative forcing on the SAM can be understood from the structure of the zonally averaged temperature trends in the \textit{ATM} ensemble mean (Fig. 10 a). The troposphere exhibits a weak warming trend (< 0.5\degree C per 51 yrs) north of 60\degree S while the stratosphere exhibits a strong cooling trend at all latitudes, with amplitudes \sim -2.5\degree C per 51 yrs near 10 hPa and maximum values of -5.5\degree C per 51 yrs at 100 hPa over the South Pole. Note that the stratospheric cooling is stronger and extends to lower altitudes over the South Pole compared to the North Pole. The tropospheric warming and stratospheric cooling are largely a radiative response to greenhouse gas increases, with the enhanced cooling of the lower stratosphere at high latitudes of the southern hemisphere radiatively-induced by ozone depletion (Randel and Wu, 1999; Langematz et al., 2003; Shine et al., 2003). These radiatively-induced temperature trends give rise to circulation changes \textit{via} thermal wind balance, manifest as positive height trends in the troposphere and negative height trends in the stratosphere: the latter extend to the surface south of 60\degree S (Fig. 10 b). A similar downward extension of negative stratospheric height trends to the surface over the southern polar cap since 1979 was shown to be a consequence of stratospheric ozone depletion by Thompson and Solomon (2002 and 2005). The lack of a northern hemisphere counterpart of this feature may be due in part to the smaller ozone depletion over the North Pole compared to the South Pole (Solomon et al., 2007) coupled with a lack of sunlight in boreal winter.
There is a lack of consensus regarding the origin of the positive trend in the NAO. Coupled ocean-atmosphere models (but not CCSM3) collectively indicate a weak upward trend due to atmospheric radiative forcing (direct and indirect), although this trend is not statistically significant compared to the models’ internal variability (Gillett et al., 2005); while atmospheric GCMs forced with observed SSTs yield mixed results, with some indicating a small upward trend due to SST changes in the tropical Indian Ocean (Hoerling et al., 2004; Hurrell et al., 2004) or North Atlantic (Rodwell et al., 1999; Mehta et al., 2000), and others finding no significant oceanic impact but a dominant role for intrinsic atmospheric variability (Schneider et al., 2003; Bracco et al., 2004). None of the NAO trends in the CAM3 ensemble means are statistically significant, and all exhibit large spread among the individual ensemble members as well as non-linear behavior with respect to the contributions of SST and direct atmospheric radiative forcing. Direct atmospheric radiative forcing may play a role, but the centers of action of the NAO-like response are northward shifted with respect to the canonical pattern, precluding a more definitive statement. Thus, the CAM3 results regarding the origin of the positive trend in the NAO remain inconclusive.

The atmospheric circulation responses to SST and direct atmospheric radiative forcing tend to be partially off-setting. To explore the origin of this tendency, we compare the tropical precipitation trends in the SST and ATM ensemble means (Fig. 11). Atmospheric radiative forcing produces a general drying trend over the tropical oceans, particularly over the western Indian and Atlantic Oceans, South China Sea, and South Pacific Convergence Zone (SPCZ). This drying trend is consistent with the stabilizing influence of atmospheric radiative forcing upon tropospheric lapse rates which would
inhibit deep convection. The general decrease in tropical precipitation due to atmospheric radiative forcing partially counteracts the increase due to SST forcing, although there are differences in the spatial patterns of the two rainfall trends (Fig. 11). The radiatively-induced tropical drying trend may contribute to the weak positive SLP trends over the north Pacific and eastern tropical Pacific in the ATM ensemble (recall Fig. 1f), providing a mechanism for the partial opposition of SST and atmospheric radiative forcing upon the NPI and SOI. Additional experiments using the tropical atmospheric heating response from CAM3 ATM to force CAM3 are needed to fully test this idea.

The counteracting impacts of SST and atmospheric radiative changes upon the SAM may also derive from the SST-induced tropical precipitation trends. In particular, previous work has shown that tropical precipitation anomalies associated with the warm phase of ENSO force a negative SAM index via changes in the refractivity and rate of dissipation of equatorward-propagating transient waves (Chang, 1995; Hou and Molod, 1995; Robinson, 2002; Seager et al., 2003; L’Heureux and Thompson, 2006). The same mechanism may apply to the impact of tropical precipitation trends upon the SAM in the SST ensemble, given that they resemble those during the warm phase of ENSO (recall Fig. 8). We interpret the drying (wetting) trend over the southern ocean middle (high) latitudes in the ATM ensemble to be a result of the SAM-related circulation changes, not a cause.

With our experimental design, we are unable to address the relative contributions of natural and anthropogenic forcing of observed atmospheric circulation trends during the second half of the 20th century. However, comparison of the trends simulated by CAM3 and CCSM3, a fully coupled ocean-atmosphere GCM driven by the identical
atmospheric radiative forcings as CAM3, may shed some light upon this issue. Recall that the CCSM3 ensemble mean reflects primarily the coupled model’s response to the anthropogenic component of atmospheric radiative forcing since internally-generated variability will be reduced upon averaging across all 7 ensemble members. The amplitude of CCSM3’s ensemble mean tropical Indo-Pacific SST warming trend was shown to be ~30% of observed, and the structure is more spatially uniform than that in nature. As a result, the tropical precipitation trend response is considerably weaker in the CCSM3 ensemble mean compared to the CAM3 \( \text{SST+ATM} \) ensemble mean, which in turn may explain the diminished amplitudes of the SOI and NPI trend responses in CCSM3 compared to CAM3 (and nature).

One possible interpretation of these results is that the portion of the observed tropical Indo-Pacific SST warming trend in excess of the simulated warming trend in the CCSM3 ensemble mean is due to natural (unforced) variability, assuming that the CCSM3 ensemble mean accurately portrays the tropical SST response to atmospheric radiative forcing. Taking this interpretation a step further, one may infer that the tropical precipitation trends simulated in CAM3 \( \text{SST+ATM} \) result almost entirely from the natural component of the observed tropical SST trends since the tropical rainfall response to the forced SST trends in CCSM3 is negligible (recall Fig. 9). This line of reasoning suggests that atmospheric teleconnection patterns forced by tropical SST changes are also due to natural variability: in particular, the negative trend in the SOI indicative of a weakening of the tropical Walker circulation, and the deepening of the Aleutian Low (recall Fig. 8c). Additional support for these conjectures comes from century-long records of tropical Indo-Pacific climate indices and the NPI which show evidence for coherent multi-decadal
cycles throughout the 20th century, of which the apparent trend since 1950 is a part (Minobe, 1997; Zhang et al., 1997; Deser et al., 2004).

Another valid interpretation is that CCSM3 is deficient in its tropical SST and precipitation responses to atmospheric radiative forcing. However, tropical Indo-Pacific SST and precipitation trends of similar magnitude to those in the CCSM3 ensemble mean are found in a multi-model ensemble mean derived from 10 different coupled ocean-atmosphere GCMs (excluding CCSM3) from the Third Coupled Model Intercomparison Project (CMIP3) of the World Climate Research Program (and like CCSM3, the multi-model ensemble mean exhibits negligible Aleutian Low and Southern Oscillation responses; not shown). Note that each coupled model was forced with the observed history of atmospheric radiative changes, similar to the CCSM3 simulations. Meehl et al. (2008) also conclude that both natural variability and anthropogenic forcing have contributed to the observed tropical Pacific SST trends during the second half of the 20th century based on a comparison of forced and unforced coupled model simulations. Although these results are suggestive, additional evidence is needed to clarify the relative roles of natural and anthropogenic forcing of observed tropical SST and precipitation trends since 1950.

The results of this study highlight the importance of both SST and atmospheric radiative changes in forcing global atmospheric circulation trends during 1950-2000. Accurate simulation of both the atmospheric and oceanic responses to increasing greenhouse gases is thus crucial for accurate projections of future atmospheric circulation changes. In addition, the ongoing role of natural SST variability must be taken into account when assessing future climate projections.
Acknowledgments

We thank Drs. Grant Branstator, Jian Lu, Jerry Meehl, and Ed Schneider for helpful discussions during the course of this study, and Drs. Mike Alexander, Jim Hurrell, and the anonymous reviewers for their constructive reviews of the manuscript. We thank Drs. Shang-Ping Xie and Yan Du for supplying the CMIP3 output. The authors gratefully acknowledge support from the NOAA CLIVAR program. The National Center for Atmospheric Research is supported by the National Science Foundation.

References


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Figure Captions

Figure 1. Linear trends (color shading; hPa per 51 yrs) of December-February SLP during 1950-2000 from observations (left) and CAM3 model simulations (right). Results are shown for 3 observational data sets [a) HadSLP2, b) I-COADS, and c) NCEP Reanalyses] and 3 sets of CAM3 model simulations [d) “SST+ATM”, e) “SST” and f) “ATM”]. Values south of 40ºS are omitted in the left hand panels due to lack of reliable observations dating back to 1950. Dashed contours indicate trends that are significantly different from zero at the 95% level.

Figure 2. As in Fig. 1 but for 500 hPa geopotential height (Z500; m per 51 yrs). a) NCEP Reanalyses, b) “SST+ATM”, c) “SST” and d) “ATM”.

Figure 3. Linear trends of December-February SLP during 1950-2000 (color shading) superimposed upon the climatological mean SLP distribution (contours) from the CAM3 “SST+ATM” ensemble mean. The climatology is contoured every 4 hPa, with dashed contours for values equal to or less than 1008 hPa.

Figure 4. Histograms of the pattern correlations of December-February trends during 1950-2000 between each individual ensemble member and the ensemble mean from CAM3 “SST+ATM” experiments for a) SLP and b) Z500, and between each individual ensemble member from CAM3 “SST+ATM” and observations north of 40ºS for c) SLP and d) Z500.
Figure 5. Regional circulation trends (hPa per 51 yrs) based on December-February SLP during 1950-2000 for the a) North Pacific Index (NPI), b) North Atlantic Oscillation (NAO), c) Southern Annular Mode (SAM), and d) Southern Oscillation Index (SOI) from (left to right): observations (OBS), the sum of CAM “SST” and CAM3 “ATM”, CAM3 “SST +ATM”, CAM3 “SST”, CAM3 “ATM”, the sum of CAM3 “Tropical SST” and CAM3 “ATM”, and CCSM3. For the model simulations, bars denote ensemble mean trends and the plus signs denote individual ensemble member trends. Dark (light) shaded bars indicate trends that are (are not) significantly different from zero at the 95% confidence level.

Figure 6. Linear trends of December-February SLP (left; hPa per 51 yrs) and Z500 (right; m per 51 yrs) during 1950-2000 from: a) and b) CAM3 “SST+ATM”, c) and d) the sum of CAM3 “SST” and CAM3 “ATM”, and e) and f) the sum of CAM3 “Tropical SST” and CAM3 “ATM”. Dashed contours indicate trends that are significantly different from zero at the 95% level.

Figure 7. Linear trends of December-February a) SLP (hPa per 51 yrs) and b) Z500 (m per 51 yrs) during 1950-2000, obtained by subtracting the CAM3 “SST” ensemble mean from the CAM3 “SST+ATM” ensemble mean. Dashed contours indicate trends that are significantly different from zero at the 95% level.

Figure 8. Linear trends of December-February SLP (left; hPa per 51 yrs) and precipitation (right; mm day$^{-1}$ per 51 yrs) during 1950-2000 from CAM3 “SST” (top) and
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Figure 9. Linear trends during 1950-1999 of December-February: a) and b) SLP (hPa per 51 yrs); c) and d) Z500 (m per 51 yrs); e) and f) SST (°C per 51 yrs); and g) and h) precipitation (mm day$^{-1}$ per 51 yrs) from the CCSM3 ensemble mean (left) and the CAM3 “SST+ATM” ensemble mean (right). Color scales are shown to the right; dashed contours indicate trends that are significantly different from zero at the 95% level. Note that SST trends in regions of sea ice cover in the Arctic are actually surface temperature trends.

Figure 10. Linear trends during 1950-2000 of December-February zonally-averaged temperature (left) and geopotential height (right) as a function of latitude and pressure from the CAM3 “ATM” ensemble mean.

Figure 11. Linear trends during 1950-2000 of December-February precipitation (mm day$^{-1}$ per 51 yrs) from: a) CAM3 “SST” ensemble mean, and b) CAM3 “ATM” ensemble mean. Dashed contours indicate trends that are significantly different from zero at the 95% level.

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