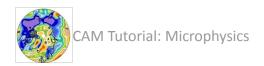


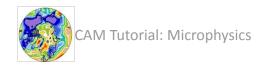
### **Outline**

- What is 'Cloud Microphysics'
- Different Approaches
- CAM implementation of Microphysics
- Aerosol Modeling
- Options in CAM
- Interactions
  - Scavenging / Deposition
  - Aerosol Indirect Effects on Climate



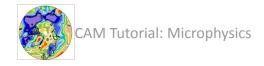
### Scope

- "Cloud Microphysics" refers to the condensed phase water processes in the atmosphere
  - Microphysical properties of condensed species
    - size distributions, shapes
  - Distribution of and transformation of condensed species
    - precipitation and phase conversion
- In CAM: this equates to 'stratiform' cloud microphysics
  - Convective clouds have even more heavily parameterized microphysics
- Large Scale Condensation itself is generally handled by "cloud macrophysics" (cloud fraction)



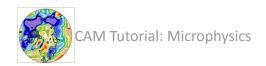
### Key operations on Condensed Species

- Condense/Sublimate
- Sediment
- Convert to precipitation
- Determine properties for other processes
  - Chemical species (scavenging)
  - Physical state (size, number) for radiation



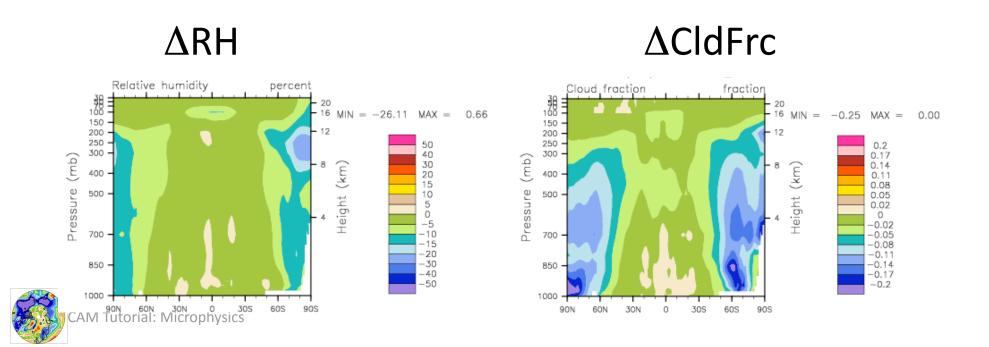
### What does this mean for Simulations?

- Microphysics controls details of precipitation
  - Rate, location
  - Overall precipitation limited by energy balance constraints (P-E)
- Coupled with Condensation (macrophysics) it determines cloud properties
  - In CAM: stratiform cloud fraction and properties
  - Also, uses convective detrainment



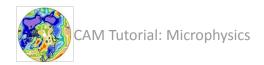
# Sample Impact

- Change the vapor deposition process in the microphysics to be more efficient (remove H2O)
  - $\rightarrow$ Lower RH  $\rightarrow$  Reduced Cloudiness by 20% (51-41%)
  - →Global change of 2Wm<sup>-2</sup> at TOA.



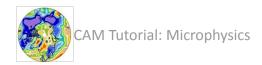
### Motivation

- Clouds are a very significant uncertainty in climate models (IPCC, 2007)
- Many ways to define microphysical processes that lead to present state: these methods may respond differently to climate change
- Critical to reduce uncertainties. Goal has been to increase complexity so that process models look more like observations



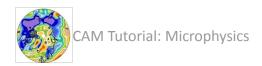
# Different types of Microphysics

- Bulk Microphysics
  - Mass based only (2 species: liquid and ice)
  - Bulk transformations and processes
  - Specified sizes or size distributions
  - Early schemes were diagnostic (e.g.: yesterday)
- Bin Microphysics
  - Multiple size bins (many constituents) with a mass in each. Explicit representation of size distribution
  - Transformations depend on mass and number



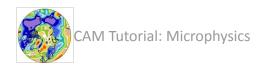
# Modal Microphysics

- Use an analytic representation of the size distribution and carry around moments of the distribution
  - First moment = mass
  - $-2^{nd}$  moment = number
  - Size distribution reconstructed from an assumed shape.
  - Advantage: represent sizes consistently with computational efficiency



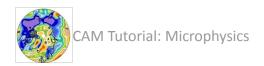
# **CAM Microphysics**

- CAM3.5: Bulk Microphysics with prognostic condensate
  - P. J. Rasch and J. E. Kristjansson, 1998
- CAM4.0: Modal Microphysics
  - H. Morrison and A. Gettelman, 2008; Gettelman et al 2008
- Start with RK98 scheme, then brief description of MG08



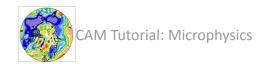
# **CAM Microphysics**

- CAM3.5: Bulk Microphysics with prognostic condensate:
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  - H. Morrison and A. Gettelman, A new two-moment bulk stratiform cloud microphysics scheme in the Community Atmospheric Model (CAM3), Part I: Description and Numerical Tests *J. Climate*, 21:15, 3642-3659, 2008



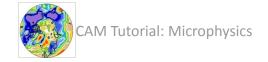
# CAM 3 Bulk Microphysics

- Coupled to Slingo (1987) RH based cloud fraction
  - Fractional cloudiness: A > 0 when RH > 0.88 or so
- Condensation closure from Zhang et al (2003)
  - Allows partial cloudiness
- Fixed ice & liquid fraction as a function of temperature
  - Not a bad assumption based on observations
- For radiative transfer & sedimentation, assumed Fixed particle sizes for liquid over land/ocean (larger over ocean).
- Ice crystal size is a function of temperature or pressure



# CAM3 Bulk Microphysics (2)

- Prognostic variables for Liquid & Ice mass
- Detrained liquid from convection put into stratiform microphysics scheme



# Macroscale component

Vapor Tendency 
$$\frac{\partial q}{\partial t} = A_q - Q + E_r$$
 Temperature Tendency 
$$\frac{\partial T}{\partial t} = A_T + \frac{L}{c_p}(Q - E_r)$$
 Condensate Tendency 
$$\frac{\partial l}{\partial t} = A_l + Q - R_l \; ,$$

A= tendencies due to other processes

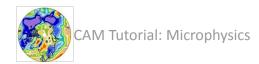
Q= net condensation

 $E_r$ = net evaporation

 $R_{l}$ = rain/snow formation rate

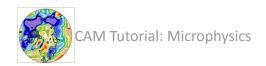
Macrophysics is concerned with determining "Q"

Subtleties: arise due to (1) in-cloud v. out of cloud regions, (2) newly forming cloud



# Microscale Component

- Ice and liquid repartitioned according to T
  - Typically -40 to -10 C (can be changed)
  - Heat is associated with the transformation
- Detrained condensate added
- Precipitation Fluxes determined
- Sedimentation is calculated
  - Ice velocity is a function of size (effective radius, r<sub>ei</sub>)
  - Liquid velocity also, but only 2 values (land, ocean)



### Microscale Processes

### **Conversion Terms:**

- Liquid & ice conversion to rain (Auto-conversion)
- Collection of liquid and ice by precipitation
- Collection of Liquid by snow (riming)

Conversion terms are a function of model state, and generally empirical fits to field campaigns (most date from 1980's or so):

Tripoli & Cotton 1980, Kessler 1969, Lin et al 1983, etc



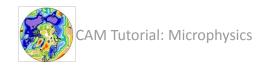
### **Process Rates**

- Complex forms
- Example, autoconversion of liquid water to rain (PWAUT)

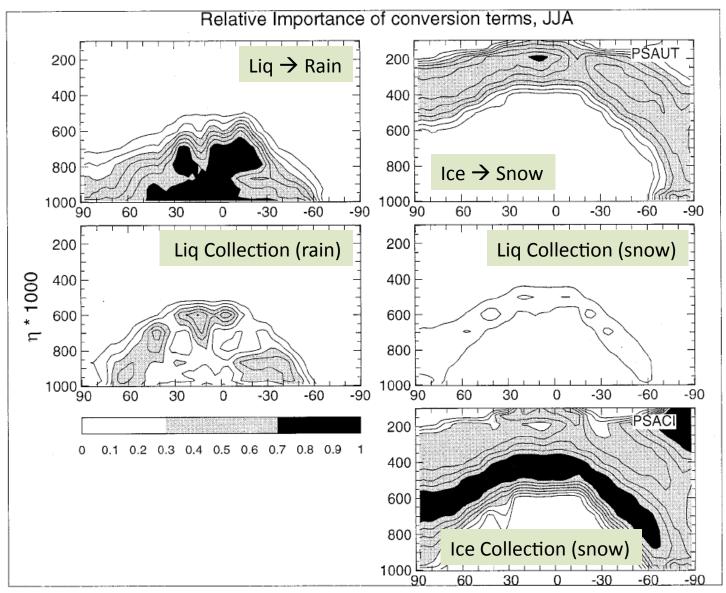
$$PWAUT = C_{l,aut}\hat{q}_l^2 \rho_a / \rho_w (\hat{q}_l \rho_a / \rho_w N)^{1/3} H(r_{3l} - r_{3lc}).$$

H= heavy-side (binary function 0,1 when expression > or < 0) N= assumed number density  $C_{l,aut}$  is rate based on size

 Form from a 1D model of stratocumulus clouds, modified from earlier cumulus experiments.



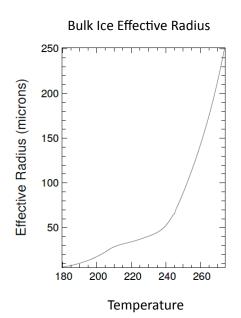
# Relative Importance of Processes

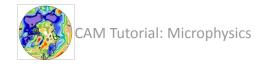




### Notes

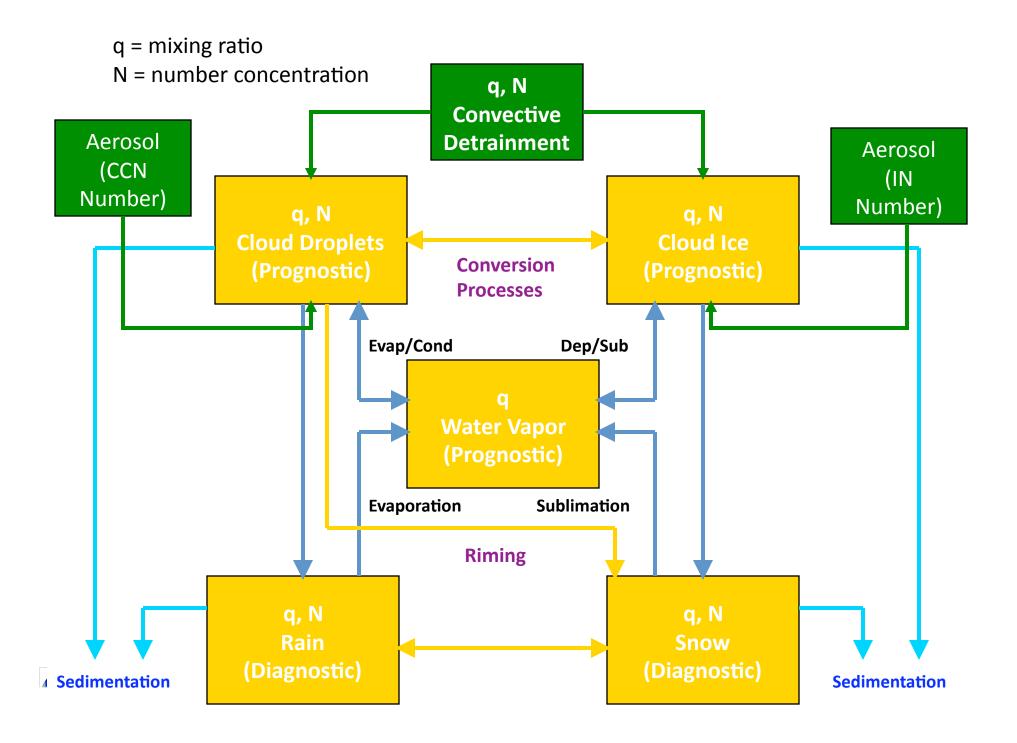
- For optical calculations, liquid particles assumed to be  $8\mu m$  over land and  $14\mu m$  over ocean
- Ice size is a function of temperature (also affects fall speed)



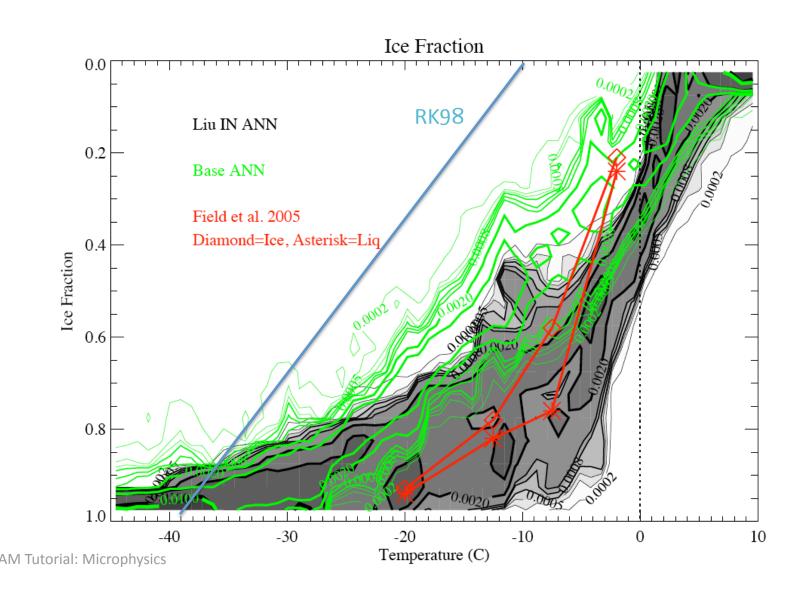


### Modal Microphysics Features

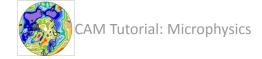
- Based on a scheme for meso-scale models
- Addition of liquid & ice prognostic number
- Mixed phase vapor deposition
  - no temperature dependence for liquid v. ice
- Ice super-saturation
- Sub-grid representation of total water
- Activation of cloud liquid and ice by aerosols
- Use sub-grid vertical velocity from TKE
- Diagnostic representation of rain and snow mass
   & number
- Processes (collection, auto-conversion) similar.
   Add nucleation processes



# Ice Fraction (Mixed Phase)



# Questions?

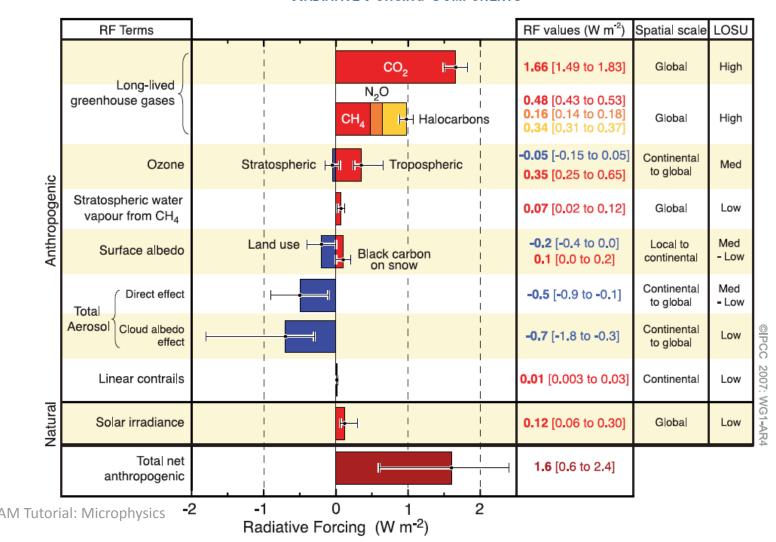


### Aerosols

Why do we care about aerosols in a climate model?

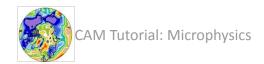
# Aerosols Aerosols Affect Radiation

#### RADIATIVE FORCING COMPONENTS



# **Aerosol Modeling**

- Physical process model (parameterizations) to simulate a set of aerosol species
- Bulk Model (CAM3)
- Modal Treatment (CAM4)
- Heritage (in order):
  - 1. Simulate direct effects of aerosols for climate
  - 2. Chemical transformations of aerosols and interactions of mass with clouds (scavenging & deposition)
  - 3. Connect aerosols with clouds



### **Bulk Aerosol Model**

- Comes from MOZART chemical transport model (now CAM-CHEM)
- Bulk (mass based) representation of:
  - Sea salt (2 modes/bins)
  - Dust (4 bins)

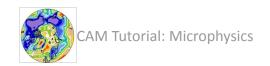
AM Tutorial: Microphysics

- Sulfate: Natural (Volcanic) and Anthropogenic
- Black and Organic Carbon (Hydrophilic & Hydrophobic): Natural and Anthropogenic
- External mixtures only: masses are independent
- Prescribed sizes: number proportional to mass
- Wet Deposition and Dry Deposition/Scavenging
- CAM 3 includes Aerosol Shortwave effects only!

### **Dry Deposition**

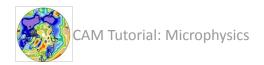
• 'series resistance' method using 'aerodynamic' resistance in lowest model level to determine deposition velocity,  $V_d$ 

• Then  $Flux = V_d n_{1}$ ,  $n_1$ =concentration in lowest level



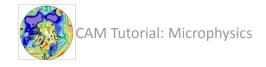
# Wet Deposition/Scavenging

- Gases scavenged only by liquid
  - Based on Henry's law (solubility: f [pH,T])
- Aerosols scavenged by liquid and ice
  - In cloud scavenging assumes a fixed fraction remains in cloud water and removed along with fraction of cloud water that precipitates
  - Below cloud scavenging assumed to be a first order loss process L = CPq where C=collection efficiency, P=precip flux (mm/h) and q = species mixing ratio



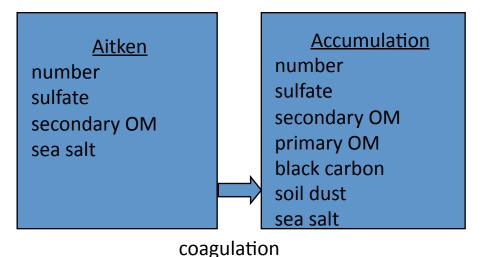
### Modal Aerosol Model

- Versions: Benchmark 7 mode & Efficient 3 mode
- Internal mixtures (coagulation within & between modes)
- Ultrafine sea-salt
- Condensation of Trace Gases (H<sub>2</sub>SO<sub>4</sub>) on aerosols
- Aging of carbon to accumulation mode based on sulfate coating
- New Secondary Organic Aerosol Treatment
- New Aerosol Optics: Direct effects in LW and SW
- Emissions available:
  - AEROCOM
  - New IPCC AR5 emissions



### 3-Mode Aerosol Model

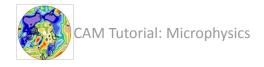
- Assume primary carbon is internally mixed with secondary aerosol
- Sources of dust and seasalt are geographically separate
- Assume ammonium neutralizes sulfate
- 15 total advected species



condensation

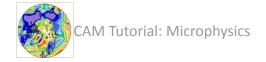
<u>Coarse</u>

number soil dust sea salt sulfate

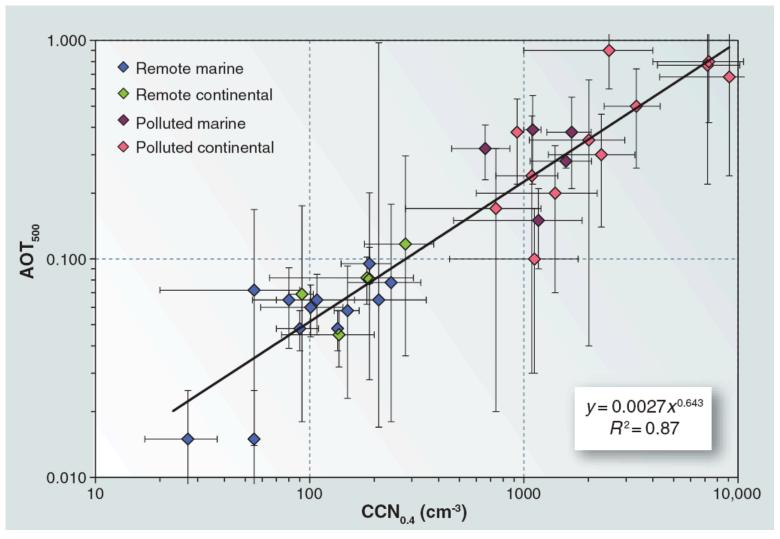


# **Key Interactions**

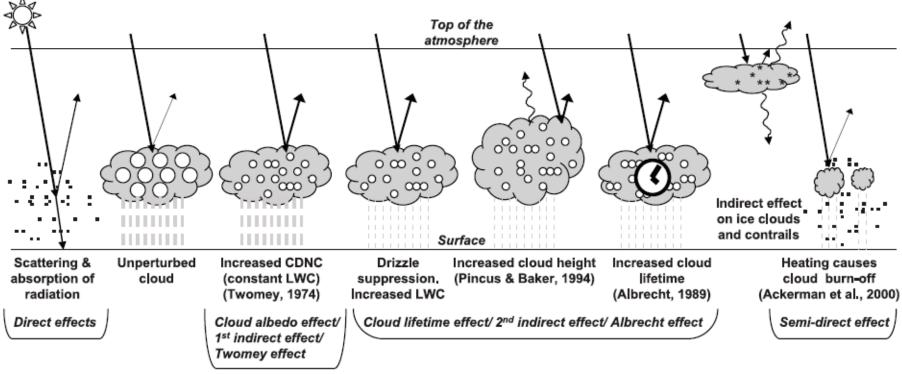
- Aerosols and Cloud Microphysics
  - Aerosol Indirect Effects
- Clouds and Radiation



### **Aerosol-Cloud Interactions**

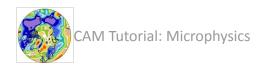


### **Aerosol Cloud Interactions**



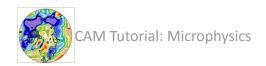
### Aerosols lead to:

- Brighter clouds
- Longer Lasting, less precipitation (supress drizzle)



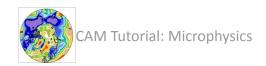
## Aerosols and Mixed/Ice Phase

- Drizzle suppression might increase precipitation intensity in mixed-phase clouds
- Ice indirect effects may be different:
  - Ice nuclei are rare. Some may induce earlier cloud formation (lower super-saturation)
  - This leads to fewer particles (opposite effect)
  - Cirrus clouds also have effects in Longwave



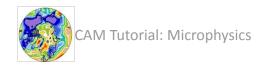
### Aerosol-Cloud Interactions in CAM

- CAM3: Direct effects of Aerosols only (SW)
- CAM4/Modal:
  - Direct Effects in LW & SW
  - Indirect effects for Liquid and Ice
- CAM 4: Indirect Effects
  - Aerosol number affects activated number of cloud drops/crystals
  - Ice nucleation: depends on sulfate & dust numbers



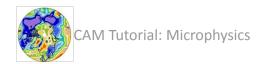
### Clouds and Radiation

- Goal has been a consistent treatment of cloud and radiation processes
- Radiation code has detailed representation of cloud vertical structure (overlap)
- Bulk (RK98) scheme has fixed cloud particle size
- Modal Microphysics (MG2008) prognoses number (& particle size): more consistent and flexible treatment.
- Difficulty: we do not know what the particle sizes really are from observations

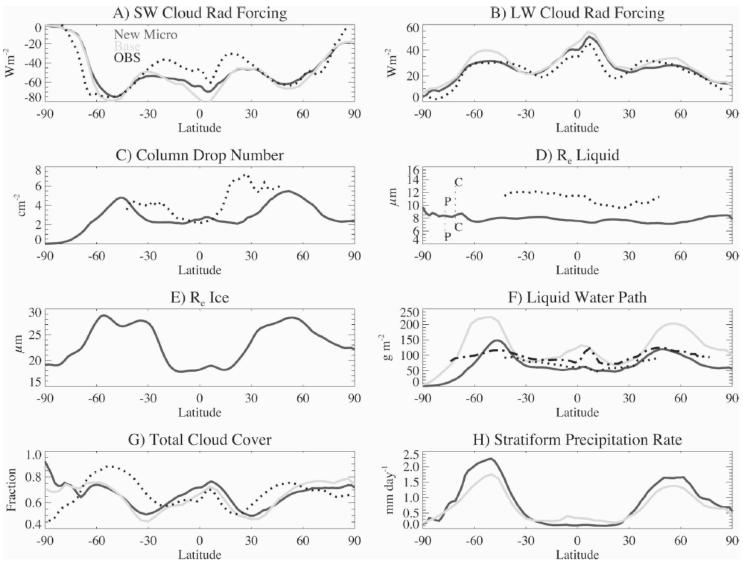


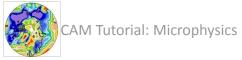
## Liquid Water Path

- Fundamental observational uncertainty:
  - How much condensed water is in the atmosphere?
- We know better the radiative fluxes (RF, LW & SW)
- But then ultimately RF = f(LWP, r<sub>e</sub>)
- Multiple Solutions:
  - Different microphysics schemes produce similar cloud radiative forcing with very different sizes and Liquid Water Paths
  - Same radiation code



# Old and New Microphysics





## Summary

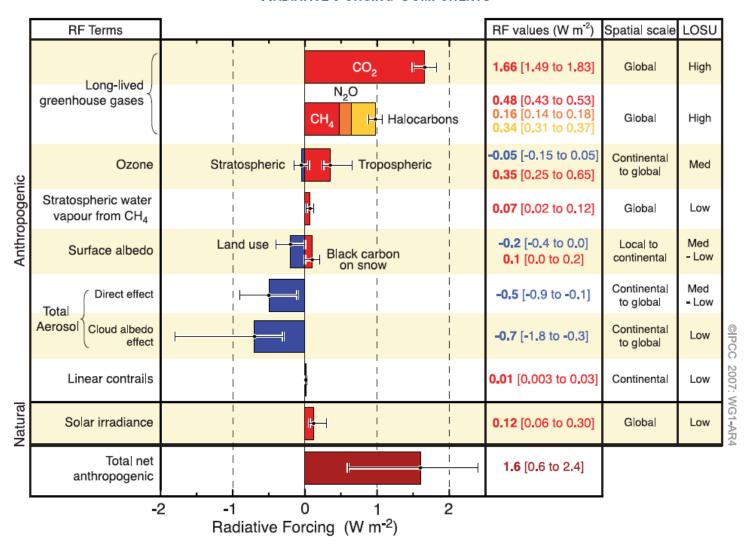
- Cloud microphysics are a critical part of the climate system
- Basic formulation is a series of process rates
- Bulk scheme has been traditional path
- New 2-mode treatment available
- Observational constraints on clouds are probably not sufficient to constrain important global uncertainties
- Going from small scale → global scale is a challenge
- Aerosols also play an important role in the climate system and interact with clouds
- Aerosol-cloud interactions are very important in understanding climate sensitivity



# Aerosols & Climate Change

Radiative Forcing in Climate Models Varies with Aerosols!

RADIATIVE FORCING COMPONENTS





### **Global Constraints**

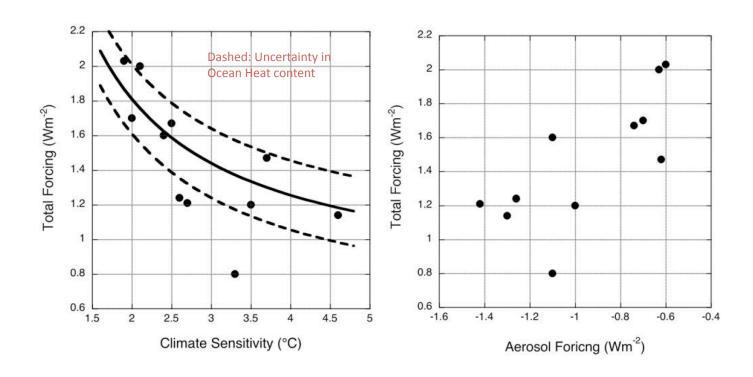
Kiehl 2007, GRL:  $\lambda = \Delta Q_{2x}/\Delta T_{2x}$  in equilibrium when H=0

 $\Delta Q = \lambda \Delta T + H$ 

20<sup>th</sup> Century  $\Delta T$ , H ( $\Delta$  ocean heat content) &  $\Delta Q_{2x}$  known:.

Then,  $\Delta Q = F(1/\Delta T_{2x})$ 

Variations in climate sensitivity ( $\Delta T_{2x}$ ) correspond to differences in total forcing ( $\Delta Q$ ).  $\Delta Q$  changes due to direct and/or indirect Aerosol forcing





### References

- Gettelman, A., H. Morrison and S. J. Ghan A new two-moment bulk stratiform cloud microphysics scheme in the Community Atmospheric Model (CAM3), Part II: Single-column and global results *J. Climate*, 21:15, 3660-3679 2008
- H. Morrison and A. Gettelman, A new two-moment bulk stratiform cloud microphysics scheme in the Community Atmospheric Model (CAM3), Part I: Description and Numerical Tests *J. Climate*, 21:15, 3642-3659, 2008
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- Slingo, J. M. 1987: The development and verification of a cloud prediction scheme for the ECMWF model. *Quart. J. Roy. Meteor. Soc.*, 113, 899–927.
- M. Zhang, W. Lin, C. S. Bretherton, J. J. Hack, and P. J. Rasch. A modified formulation of fractional stratiform condensation rate in the ncar community atmospheric model (cam2). *J. Geophys. Res.*, 108(D1), 2003.

