Spurious Late Historical-Era Warming in CESM2 Driven by Prescribed Biomass Burning Emissions

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Key Points:

- CMIP6 prescribed biomass burning emissions contain elevated interannual variance from 1997-2014 relative to other periods
- In CESM2, the variability in emissions drives substantial warming in the Northern Hemisphere extratropics
- Changes in radiation and clouds arising from nonlinear interactions with aerosols underpin the simulated warming
Abstract

A spurious increase in the interannual variability of prescribed biomass burning (BB) emissions in the CMIP6 forcing database during the satellite era of wildfire monitoring (1997-2014) is found to lead to warming in the northern hemisphere extratropics in simulations with the Community Earth System Model version 2 (CESM2). Using targeted sensitivity experiments with the CESM2 in which prescribed BB emissions are homogenized and variability is removed, we show that the warming is specifically attributable to BB variability from 40°N-70°N and arises from a net thinning of the cloud field and an associated increase in absorbed solar radiation. Our results also demonstrate the potential pitfalls of introducing discontinuities in climate forcing datasets when trying to incorporate novel observations.

Plain Language Summary

A discontinuity in the variability of prescribed biomass burning emissions between the satellite era of wildfire monitoring (1997-2014) and both the preceding historical and future time periods is found to drive spurious warming in the Community Earth System Model version 2. The warming arises from a net thinning of the cloud field and an associated increase in absorbed solar radiation during periods of high variability in emissions. Evidence suggestive of similar effects in other climate models is also presented. The results highlight the challenges in evaluating models with observations, even in the modern satellite era.

1 Introduction

Quantifying the sensitivity of climate to external forcing is necessary in order to accurately project the impacts of climate change and anticipate appropriate adaptation needs. Many of the most recent climate model versions exhibit estimated equilibrium responses to
carbon dioxide doubling that are considerably higher than previous model generations (Meehl et al. 2020, Zelinka et al. 2020). These most recent model versions are the result of a sustained effort by climate research groups over the past decade to improve model physics, and specifically, their representation of clouds and feedback relevant processes (Andrews et al. 2019; Gettelman et al. 2019; Golaz et al. 2019; Lohmann et al. 2018; Wyser et al. 2019). This development process has been informed by an unprecedented data record used to guide improvements in the representation of clouds and cloud-aerosol interactions (Bender et al. 2019; Kay et al. 2016; Storelvmo et al. 2017; Tan et al. 2016) that have helped drive high sensitivities (Andrews et al. 2019; Gettelman et al. 2019; Lohmann et al. 2018; Tan et al. 2016; Wyser et al. 2019). An objective assessment of performance across model generations has concluded that many of the latest versions significantly outperform older models in reproducing observed feedback-relevant fields, with some of the highest sensitivity models agreeing most closely with observations (Fasullo, 2020). However, other recent studies aimed at evaluating simulated warming have cast doubt on the reliability of high sensitivity models (Flynn and Mauritsen 2020, McKitrick and Christy 2020, Nijssse et al. 2020, Tokarska et al. 2020).

The use of the observational record to rule out high model sensitivities is on its own somewhat surprising given that the transient climate responses of recent models are only marginally larger than of earlier generations (Flynn and Mauritsen 2020, Meehl et al. 2020). Historical-era simulations rely on estimation of climate forcing, which itself introduces substantial uncertainty (Smith et al. 2020). Apparent inter-model contrasts, both across and within model generations, can thus arise from these uncertainties and the range of model sensitivities to them.
The focus of this work is on the climate response to regime changes in the interannual variability of biomass burning (BB) emissions prescribed in the most recent Coupled Model Intercomparison Project Version 6 (CMIP6) database (van Marle et al. 2017; BB emissions include sulfur dioxide, black carbon, and organic carbons). In constructing these data, observed interannual variability was retained during the duration of the Global Fire Emissions Database (GFED; van Der Werf et al. 2017), with the deleterious effect of creating such an artificial regime change. Here, we show that the associated increase in the variability of BB emissions during the GFED era leads to a net warming in the Community Earth System Model version 2 (CESM2) via decreased mean cloud droplet number and low cloud amount. The anomalous warming abates in future projections as BB variability is again small. We also present evidence suggestive of the potential for a similar response in some CMIP6 models. In a related paper, the associated responses of Arctic sea ice and climate to this forcing are examined (DeRepentigny et al. 2021).

2 Data and Methods

2.1 The Community Earth System Model

Simulations from the CESM version 2 (CESM2, Danabasoglu et al. 2020) are taken from the 11-member historical-era submission to CMIP6 (Eyring et al. 2016) and the 3-member 21st century submission for Shared Socioeconomic Pathway (SSP) 3-7.0 (O’Neill et al. 2016). The CESM2 uses the Modal Aerosol Model version 4 (Liu et al. 2016) and cloud-aerosol interactions use the updated Morrison and Gettelman scheme (MG2; Morrison and Gettelman 2008).

To provide context for the CESM2 simulations that are the focus of this work, we also consider simulations from the 40-member Community Earth System Model version 1 (CESM1) Large
Ensemble (Kay et al. 2015). These simulations provide a robust estimate of the climate response to forcing in the presence of internal variability without large interannual variability in BB emissions. The CESM1 uses the Modal Aerosol Model version 3 (MAM3, Hurrell et al. 2013) and cloud-aerosol interactions are represented through the MG1 cloud microphysics scheme (Morrison and Gettelman 2015). Emissions of aerosols and aerosol precursors in CESM1 follow Lamarque et al. (2010). Differences between the treatment of cloud-aerosol interactions in CESM1 and CESM2 are complex and relate to both changes in the treatment of aerosols, clouds, and their microphysical interactions (Danabasoglu et al. 2020, Gettelman et al. 2019, Tilmes et al. 2019,). Important differences also exist in the prescribed forcings used in each model (e.g. Smith and Forster, 2021).

2.2 CMIP6 Forcings and Homogenized Sensitivity Forcing.

Experiments are conducted to quantify the climate response resulting solely from regime changes in CMIP6 BB emission variability associated with changing data sources in 1997 as documented in van Marle et al. (2017) for northern high latitudes (discussed in section 3.1). With this goal in mind, a revised emissions dataset is created in which interannual variability is removed only from the latitude band 40°N-70°N over the 1997-2014 period while the integrated amount of emissions is retained. This “homogenized” dataset, which largely removes regime changes in variability in the late 20th century, is then used to create a 20-member ensemble of simulations, referred to hereafter as the CESM2BB ensemble, using otherwise identical forcing and initial conditions as the 11-member CESM2 CMIP6 ensemble. The homogenized region is chosen to coincide with the regional definitions used in van Marle et al. (2017). This approach, identical in nature to what was used in CMIP5 (Lamarque et al. 2010), removes any sharp transition with the prescribed SSP BB emissions, which are based on the GFED emissions. We then conduct a 20-
member ensemble of sensitivity simulations initialized in 1990 but using the artificial set of
emissions over the period 1997-2014, with identical initial conditions as in our original CESM2
ensemble (see section 2.1).

2.3 CMIP simulations

Historical CMIP6 simulations for which at least 4 members have been provided with
downwelling surface shortwave radiation ($SW_{dn}$) through the Earth System Grid Federation
(ESGF) have been included in our analysis. CMIP experiment output is available on the ESGF
(https://www.earthsystemgrid.org). As upwelling shortwave radiation is not submitted by many
centers in the CMIP3 database, and because differences between net and downwelling anomalies
are small, $SW_{dn}$ is used rather than net surface shortwave radiation in evaluation of CMIP
simulations.

2.4 Observations

Observed estimates of near surface (2 m) air temperature in the historical era are from the
Berkeley Earth System (Rohde and Hausfather 2020). These data are well-suited for climate
model evaluation as regions and times without observations are infilled to provide continuous
fields. Radiative fluxes at top-of-atmosphere and the surface are from the Clouds and the Earths
temperatures are from the European Center for Medium Range Weather Forecasts Reanalysis
Version 5 (Hersbach et al. 2020). These estimates are derived from model assimilation of a broad
database of in-situ and satellite observations during the GFED era.

3 Results

3.1 Simulated Late Historical-Era Climate
The transient climate responses over recent decades in the CESM1 40-member Large Ensemble (Kay et al. 2015) and an 11-member ensemble of CESM2 (Danabasoglu et al. 2020) are examined and compared to observations in order to understand the temporal and regional structure of their contrasts (Figure 1). Notably, this type of comparison is analogous in many ways to ongoing comparisons of the CMIP5 and CMIP6 archives.
The broad evolution of temperature in the two models before 1997 is similar, with both ensembles depicting persistent net warming, interrupted by cooling from 1950 to 1970 in the northern extratropics (Figures 1a and 1b). While observations generally fall within the ensemble spreads of both models (Figures 1a and 1b), differences in warming exist in some eras and regions, as both model versions depict somewhat less warming than observed from 1975 to 1997. Particularly notable is that from 1997 to 2010, the warming in the latitude band 30°-90°N in the CESM2 is considerably greater than either that observed or that simulated by the CESM1, as the ensemble bounds (shaded) diverge from each other starkly by 2010. Ensemble mean warming in the CESM2 is also negligible from about 2010 to 2020 before increasing again after 2020 in close agreement with warming in the CESM1 (not shown). Associated with the enhanced northern extratropical warming rate in CESM2 is an abrupt increase in the surface net solar flux (SW_{sfc}) in a narrower latitude band, from 40°N-70°N (Figure 1c), an increase that runs counter to
the model’s historical-era decrease prior to 1997, which is considerably larger than reductions in CESM1. Note the band shown for radiation anomalies (Figure 1b-d) is narrower than that for surface temperature (Figure 1b) given the broad scale of the response to a relatively confined band of radiation anomalies. The stronger \( SW_{sfc} \) decrease in CESM2 versus CESM1 from 1950 to 1990 is consistent with differences generally between CMIP6 and CMIP5, which have been shown to be attributable to the combined influences of model sensitivity and contrasts in aerosol and greenhouse gas forcings (Smith and Forster 2021). An abrupt increase in TOA solar flux \( (SW_{toa}, \text{Figure 1d}) \) also occurs in 1997 and both are approximately 2 W m\(^{-2}\) in magnitude. The enhanced warming in CESM2 is evident through much of the lower troposphere, which is discussed in greater detail below, and illustrated in the evolution of 700 hPa temperature (Figure 1e). The period of enhanced warming also coincides with a sudden shift in the observational datasets used to produce the wildfire emissions (Figure 1f), as 1997 marks the beginning of the GFED observations. The GFED era (1997-2014; shaded in all panels of Figure 1) is associated with a marked increase in the variability of BB emissions from 40°N-70°N, mainly in the boreal Asian (BOAS) region and secondarily in the boreal North American (BONA) region (van Marle et al. 2017). This creates sharp discontinuities in prescribed forcing variability, both in 1997 and 2014, before and after (not shown) which interannual variations are small. Contrasting changes between CESM1 and CESM2 are documented in the SI while specific mechanisms that may be at play in driving these changes are discussed further below.

### 3.2 Results of Targeted Sensitivity Simulations
To establish causality, and to demonstrate the importance of what might otherwise be perceived as small differences in clouds and radiation between CESM1 and CESM2, the CESM2 and CESM2BB ensembles are contrasted.

Figure 2. Impact of variable BB emissions on the spatial structure of late historical-era changes. Shown are CESM2 minus CESM2BB differences in 1995 to 2014 annual mean near surface air temperature (a), vertically integrated cloud droplet number (b), low cloud amount (c), and net surface shortwave flux (d). Regions where the difference in changes is less than the ensemble standard error are stippled. Note that a scaling is applied to the low cloud and surface SW flux fields as indicated in titles in panels (c/d).

The effect of variability during the GFED era is estimated by differencing the CESM2 and CESM2BB ensemble means to resolve their geographical (Figure 2), vertical (Figure 3), and temporal characteristics (Figure 4). The net effect of BB variability is to warm surface air
temperature in the Northern Hemisphere, particularly over land and north of 30°N, in excess of 1°C in some regions (Figure 2a) while having only marginal effects in regions south of 30°N. We note that while the sensitivity tests used here are based on experiments that impose smoothed emissions from 40°N-70°N, other experiments in which emissions are smoothed globally, such as the CESM2 Large Ensemble (Rodgers et al. 2021), also show marginal effects in regions south of 30°N (not shown). Associated decreases in cloud droplet number and low cloud amount are also simulated (Figures 2b and 2c), particularly in the BOAS and BONA regions, where the largest emissions occur, with strong downstream effects over the eastern subtropical Pacific Ocean evident in the low cloud field (Figure 2c) and SW_{sfc} (Figure 2d). As a result of changes in clouds, SW_{sfc} is increased across much of the Northern Hemisphere north of 30°N and over northern extratropical land regions, contributing significantly to the strong warming evident in CESM2 CMIP6 simulations.
Figure 3. Impact of adjusted BB emissions on the vertical structure of late historical-era changes. Shown are CESM2 minus CESM2BB differences from 1995-2014 in temperature (a) and relative humidity (b), and cloud amount (c). Stippled regions are where differences fail to exceed the ensemble standard error.

The vertical structure of the response to BB variability is also noteworthy (Figure 3), where differences between CESM2 and CESM2BB are shown for temperature, relative humidity (RH), and cloud amount. A strong spatial coherence exists between surface (Figure 2a) and tropospheric warming (Figure 3a), with detectible warming extending from the surface, where contrasts are largest, through the depth of the troposphere and across all latitudes. South of 30°N, warming is stronger in the free troposphere than near the surface at many latitudes. Coherent
with the tropospheric warming response is a decrease in RH spanning from 30°N-70°N, from the surface through 500 hPa. Interestingly a remote response is also apparent, both in the tropics where a southward displacement of the Intertropical Convergence Zone (ITCZ) is evident, and in the Southern Hemisphere where RH decreases above the boundary layer and through much of the mid- to upper-troposphere. Coincident with RH decreases are decreases in cloud amount (Figure 3c), which are particularly strong below 850 hPa, that extend roughly from 20°N-70°N in the Northern Hemisphere and from the equator to 60°S in the Southern Hemisphere. The strong ITCZ changes noted in RH are also evident in cloud amount. The main features of these inter-model differences are statistically significant and strong physical ties are known to directly link the fields (e.g. RH and cloud amount), bolstering the case that they are causally linked.
Figure 4. Similar to Figure 1 but where CESM2BB simulations (black curves) are plotted in place of observations, and panel (a) shows global near surface air temperature anomalies and panel (f) shows 40°N-70°N surface albedo anomalies. The 5-yr smoothing applied to the 25-yr CESM2BB simulations results in heightened noise near the endpoints, particularly 1990.

The temporal evolution of radiation and temperature (Figure 4) demonstrates a significant reduction of differences between CESM1 and CESM2 in depicting large-scale transient changes during the GFED era as a result of BB homogenization. Both the global and northern extratropical magnitude of warming during the era (Figures 4a and 4b) are indistinguishable between CESM1 and CESM2BB, lying well within the ensemble spread. Regional changes in ensemble mean SW_{sfc} anomalies (Figure 4c) are also very similar in the model versions, though CESM2 and CESM2BB are offset to be slightly lower than CESM1, likely due to differences in model physics and other forcing agents. At TOA, SW_{toa} anomalies in CESM2BB track closely with CESM1 (Figure 4d) and are well within the ensemble spread, while at 700 hPa, warming aligns closely with that of CESM1 (Figure 4e). Lastly, reductions in surface albedo during the GFED era (Figure 4f), which are disproportionate in CESM2, are largely consistent between CESM1 and CESM2BB, though the ensemble mean of CESM2BB decreases somewhat more than that of CESM1. Contributions to the reduction in surface albedo arise from both land and ocean regions (not shown) and raise the issue of changes in the cryosphere, their sensitivity to warming, and their role as a feedback agent that amplifies the radiative response to BB emissions. Aspects of feedbacks related to Arctic sea ice are addressed in a companion manuscript (DeRepentiny et al. 2021). Many of the disparities in GFED-era trends between CESM2 and CESM2BB are short-lived, as the ensemble averages align more closely in many
fields by the end of the historical era. (Figure 4). The alignment may result in part from the relatively small variability late in the GFED record compared to that in its early years (Figure 1f). As a consequence, the computation of trends over time periods that involve the GFED era are likely to have a spurious contribution from the response to variable BB forcings. While it remains to be explicitly quantified, the suggestion here (Figures 4a and 4b) is that these effects on temperature are likely to overshadow any differences in warming resulting from the contrasting climate sensitivities of the models (4.1°C for CESM1 and 5.3°C for CESM2), given the close agreement of CESM1 and CESM2BB time series.

3.3 CMIP6 Climate Simulations

The demonstrated sensitivity of CESM2 to prescribed BB emissions raises the associated question as to whether other CMIP6 climate models exhibit similar sensitivities. While it is beyond the scope of this work to perform additional targeted experiments with other models, it is possible to examine transient changes in surface downwelling solar flux (SW$_{dn}$) as an indicator of potential BB responses. It is also of general interest to know whether there is a systematic shift between earlier generations of CMIP simulations and the most recent generation, CMIP6. The evolution of ensemble-mean SW$_{dn}$ anomalies (Figure S2a), relative to the 1970-1990 mean, indeed exhibits a significant positive average anomaly of 0.7 Wm$^{-2}$ across the GFED era for CMIP6, while being near zero (~0.1 W m$^{-2}$) for the two prior CMIP Versions (CMIP3 and CMIP5). The differences between these ensembles lie well outside of the standard deviations of annual means and further still outside of their standard errors (<0.1 Wm$^{-2}$). Moreover, evidence for a dependency in the strength of the effect across models also exists (Figure S2b), with individual model ensemble mean SW$_{dn}$ anomalies during the GFED era being as much as +1.7
Wm$^{-2}$ in some models (on par with CESM2 at 1.6 W m$^{-2}$), between 0.5 and 1.5 W m$^{-2}$ in over 80% of models, and more than the mean CMIP3/5 anomaly of 0.1 W m$^{-2}$ in all but one model (CanESM5; -0.1 W m$^{-2}$). There are therefore initial indications that similar effects of variability in BB emissions may exist in other simulations in the CMIP6 models and contribute to additional GFED-era warming. The prospects of strong model dependency are also raised. A key question is whether the contrasting changes in SW$_{dn}$ are driven by BB or other effects, and particularly the influence of anthropogenic aerosols. Further targeted experiments, similar to that performed here for CESM2, are thus motivated to clarify this issue. If these early indications are validated, a significant challenge exists for efforts to evaluate CMIP6 models, or attribute observed changes in the late 20$^{th}$ and early 21$^{st}$ centuries.

**4 Discussion**

A compelling motivation exists for winnowing the range of projected future climate through comparison of simulated trends with the observational record. Such efforts are surprisingly challenging however as they rely implicitly on our ability to 1) accurately diagnose external climate forcings over time, 2) resolve forced changes in the presence of internal variability in both observations and models, and 3) establish physical ties between the drivers of present-day and future trends. While much attention has been paid to the uncertainties in anthropogenic industrial aerosol emissions and their effects, it is shown here that climate forcings sometimes thought of as being of secondary importance, such as BB, can be important.

Some aspects of the climate response in CESM2 remain to be fully understood, such as the influence of BB emission variability on aerosol burdens, size droplet distributions, and cloud microphysics. While BB aerosols are an absorber of solar radiation, they also interact directly with cloud microphysical schemes. Are the microphysical interactions important, and if so, what
role do they play? Moreover, the fact that our homogenized dataset yields a distinct climate
response, despite retaining the same monthly mean bulk emissions as the original CMIP6
emissions, suggests that the response must be intrinsically nonlinear. The origin of nonlinearities
may stem in part from the effects of aerosols on clouds (Figure 30.4 of Haywood, 1996) whereby
as the number of aerosols increases, the cloud droplet response asymptotes. This asymptotic
behavior is suggested in our simulations: for example, despite aerosol emissions anomalies being
only slightly negative in 1997 and significantly positive 1998 (Figure 1f), anomalies in cloudy-
sky albedo are strongly negative in 1997 and only marginally positive in 1998 (not shown).

There is also skewness in the CMIP6 emissions themselves as relative few years of high
emissions are interspersed among multiple years of slightly below average emissions. A
plausible hypothesis is therefore that asymptotic behavior in cloud droplet responses combined
with skewness in the emissions drive the anomalous net warming in CESM2-CMIP6
simulations.

Other potential mechanisms may also contribute to driving the nonlinear responses in CESM2.
For example, the response of cloud amount itself may also be nonlinear due to the discrete RH
threshold used to initiate cloud formation. Feedbacks with the cryosphere are likely to amplify
the climate response and may themselves impart nonlinearity as snow and ice cover fraction are
fundamentally bounded fields (i.e., 0-1). The effects of cloud and warming responses detailed
here on Arctic sea ice have already been documented in CESM2 (DeRepentigny et al. 2020,
2021). The expectation of linearity is itself complicated by the strong dependence of both
forcings and feedbacks on season at high latitudes, as small shifts in time and space of a forcing
agent can significantly modulate its net radiative effect. Ultimately, additional sensitivity
experiments will be needed for a full understanding of the mechanisms involved.
The results of this work have broad relevance for interpreting previous efforts and guiding future follow-on work. Some recent studies, for example, may have conflated the climate response to BB with the climate feedbacks that drive climate sensitivity. This is evident for example in cases where spatial patterns of warming are used to attribute causality to greenhouse gases (GHG, Tokarska et al. 2020), as the BB response is spatially correlated with that response (Figure 2). Moreover, attempts to reduce the influence of uncertainty in aerosol forcing by extending analysis of trends into recent decades (Nijssse et al. 2020) remain vulnerable to the spurious effects induced by BB. Notably, the possibility exists that model physics that may render certain models more susceptible to the effects of BB emissions, such as those with more advanced representations of cloud microphysics in CMIP6 models (Andrews et al. 2019, Gettelman et al. 2019, Golaz et al. 2019, Lohmann et al. 2018, Wyser et al. 2019, Zelinka et al. 2020). This in turn may provide a statistical basis for perceived links of recent warming to higher inferred climate sensitivity. Given this, it remains a challenge to reduce the uncertainty in future projections in CMIP6 through comparison with recent observed trends. Lastly, the findings of this work also call into question standard techniques for estimating internal variability from extended preindustrial simulations, which almost always lack variable BB emissions. Here it is shown that such emissions are an important component of the climate system, with the potential to significantly alter clouds, temperature, and radiation on timescales from seasons to (at least) decades. When forced with realistic variability in BB emissions, multiple positive feedbacks are likely to be triggered (e.g. clouds, cryosphere), thus increasing the potential range of variability. Moreover, as land model component capabilities improve, many models now include the ability to explicitly simulate BB emissions. This capability provides the opportunity to represent BB emissions as an internal climate process. Explicit
representation of the emissions has the implicit advantage of allowing for climate state
dependence of emissions and their feedbacks across a range of past and future time periods.
Doing so seems particularly important given known links between wildfire and climate. Paths
forward for further constraining climate sensitivity are less obvious. Continued scrutiny of
models with a diverse and expanding observational record, and particularly with a focus on
process-relevant fields (e.g. Fasullo 2020) is likely to provide useful information regarding
model fidelity. Consideration of the climate response across paleoclimate timescales (Zhu et al.
2020) and in combined statistical assessments (Sherwood et al. 2020) is also likely to provide
useful information. Ultimately, coordinated advances across this broad range of climate
monitoring and modeling disciplines will be essential for further reducing uncertainty in
projections of the climate system’s response to external forcing.
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Author contributions

JF initiated this study, contributed to the experiment design, analyzed results, prepared the figures, and first manuscript. JFL contributed to experiment design and manuscript revisions. CH
and NR produced the sensitivity simulations. PD, ST, CD, and AJ contributed to refining the
manuscript.

**Competing interests**

The authors declare no competing interests.

**Data and materials availability**

The data supporting the conclusions of this paper can be found on the Earth System Grid Federation ([https://www.earthsystemgrid.org/search.html?freeText=CESM2+CMIP6+historical](https://www.earthsystemgrid.org/search.html?freeText=CESM2+CMIP6+historical) &Model=CESM2.1&Experiment=b.e21.BHIST.f09_g17.CMIP6-historical.003) where links to specific simulation cases lead to the atmospheric fields used in this work, (e.g. such as TREFHT for reference near surface air temperature). Simulation output for sensitivity simulations is available on NCAR’s Digital Asset Services Hub (DASH, dash.ucar.edu) under CESM2 40-70N Biomass Emissions Homogenization Sensitivity Tests ([https://doi.org/10.5065/7f7c-zw94](https://doi.org/10.5065/7f7c-zw94)).

CERES EBAF Ed4.1 data used here are available at [https://ceres-tool.larc.nasa.gov/ord-tool/jsp/EBAFTOA41Selection.jsp](https://ceres-tool.larc.nasa.gov/ord-tool/jsp/EBAFTOA41Selection.jsp) under the “TOA Fluxes” checkbox.
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